



Proceeding

ASEAN - ASEANSAT - AIPA Joint Seminar

"Laying the Foundation for Future Cooperation in Promoting Accountability and Transparency in Southeast Asia"

Jakarta, 26 February 2019



PROCEEDING

ASEAN – ASEANSAI – AIPA JOINT SEMINAR

**“Laying the Foundation for Future Cooperation
in Promoting Accountability and Transparency
in Southeast Asia”**

JAKARTA, 26th FEBRUARY 2019

Table of Contents

List of Abbreviations	2
EXECUTIVE SUMMARY	3
1. Background	5
2. Objectives	6
3. Participants	6
OPENING SESSION	7
SPECIAL REMARKS	9
H.E. Dr. Peter Schoof,	9
Ambassador of the Federal Republic of Germany to Indonesia, ASEAN and Timor Leste	9
Dr. AKP. Mochtan, Deputy Secretary General for	10
Community and Corporate Affairs of ASEAN	10
Dr. Viengthong Siphandone, ASEANSAI Chairperson	11
Hon. Mr. Isra Sunthornvut, Secretary General of AIPA	12
HIGHLIGHTS OF THE SEMINAR	14
Session 1: Expressing A Collective Voice: Inter-agency Cooperation	15
Key Findings	18
Session 2: Transparency and Accountability: Finding a Common Ground	19
Q & A (from Wisembly app):	21
Key Findings	22
Session 2: Breakout Session/Group Discussion	22
Session 3: Expressing A Collective Voice: Inter-agency Cooperation	23
Summary of Breakout Group Discussions	25
Summary of proposed activities by Red Group	25
Summary of proposed activities by Green Group	26
Summary of proposed activities by Yellow Group	27
Summary of Group Red	27
Summary of proposed activities by Blue Group	28
Key Findings	29
CLOSING SESSION	30
ANNEXES	31

List of Abbreviations

ASEAN	: Association of South East Asian Nations
ASEANSAI	: ASEAN Supreme Audit Institutions
AIPA	: ASEAN Inter-Parliamentary Assembly
ASEC	: ASEAN Secretariat
ACCSM	: ASEAN Cooperation on Civil Service Matter
BPK	: Badan Pemeriksa Keuangan (SAI Indonesia)
DSGCCA	: Deputy Secretary General for Community and Corporate Affairs
GDP	: Gross Domestic Product
GIZ	: Deutsche Gesellschaft für Internationale Zusammenarbeit
GOPAC	: Global Organization of Parliamentarians Against Corruption
IDI	: INTOSAI Development Initiative
ISSAI	: International Standards of Supreme Audit Institutions
KNI	: Key National Indicators
KSC	: ASEANSAI Knowledge Sharing Committee
MP	: Member of Parliament
PMF	: Performance Management Framework
SAI	: Supreme Audit Institution
SOM	: Senior Officials Meeting
TC	: ASEANSAI Training Committee

EXECUTIVE SUMMARY

In realizing its goal to accelerate economic growth, social progress and cultural development for a prosperous and peaceful community in the region, ASEAN recognises the need to engage the various stakeholders to build a more dynamic cooperation toward reaching the strategic objectives of ASEAN Vision 2025.

The first joint seminar of ASEAN, AIPA and ASEANSI for Laying the Foundation for Future Cooperation in Promoting Accountability and Transparency in Southeast Asia was organized to establish a foundation for future collaboration among the three organizations. Designed along three sessions, the seminar has helped in developing and expressing a collective voice, finding a common ground and defining collaborative actions. This was achieved by bringing together mid- and high level officials of ASEAN, ASEANSI and AIPA to identify common grounds, interests and commonalities for potential joint cooperation in the future.

H.E. Dr. (H.C.) Drs. H. Muhammad Jusuf Kalla, the Vice President of the Republic of Indonesia, officially opened the joint seminar, organized by these three organizations in a mutual effort. The session was followed by remarks of the dignitaries from the Embassy of the Federal Republic of Germany and all three organizations; ASEAN, ASEANSI and AIPA.

The first session presented briefly the three organizations' profiles and scope of work, discussed commonalities and complementariness in thrusts, mandates, programs, and activities. The second session showcased good practices and discussed about the challenges in promoting transparency and accountability in the region. This session served as an inspiration for the participants to start thinking about potential collaborative actions for ASEAN, ASEANSI and AIPA in the future.

The participants then divided into four focus groups to explore ideas for future collaboration and proposed activities. With the support of the digital event application Wissembly, contributions of the joint seminar's participants were efficiently and effectively captured, documented and managed, enabling an interactive exchange between speakers and audience. The final session aimed to pinpoint activities for joint efforts in promoting accountability and transparency in the future. This was based on the presentation of the focus group work's results. Proposals and suggestions were prioritized and discussed, to be subsequently taken forward by the technical teams of each organization after the seminar.

The participants proposed several actions. The following three were the top rated activities:

1. Joint research on regulation/training, best practices and learning exchange.
2. Capacity building activities that includes presentation and exchange on the preparation and use of audits, together with non-audit participants (e.g. Members of Parliament).
3. Creating a focus group involving ASEAN, ASEANSI and AIPA and other stakeholders to discuss common issues that are cross cutting, e.g. the challenges and issues in implementing SDGs and cross-border issues.

These top collaborative actions proposed by the participants will serve as the milestone to be followed-up by ASEAN, ASEANSI and AIPA in the future.

1. Background

The Association of South East Asian Nations (ASEAN) was established in 1967 to enhance cooperation and promote peace and stability in the region. Despite the varying level of development among the member states, the association has started to develop its own identity and political mode of cooperation. Members have been working together successfully over the past years to improve the economic situation of their citizens, facilitate free movement of their people across borders and support each other when in need.

In realizing its goal to accelerate economic growth, social progress and cultural development for a prosperous and peaceful community in the region, ASEAN recognises the need to continue engaging various stakeholders to build a more dynamic cooperation toward reaching the strategic objectives of ASEAN Vision 2025. Several strategic measures have been identified which include fostering a more socially-responsible ASEAN by promoting good governance principles through greater transparency. It covers public and private sector, as well as other stakeholders, to improve transparency and synergies of government policies and business actions. It is also expected to help promote economic growth and good governance across Southeast Asia.

This effort requires intensive cooperation between governments and all stakeholders. At regional level, ASEAN parliamentarians established the ASEAN Inter-Parliamentary Assembly (AIPA) to build connections beyond the government level and to promote policies that benefit the people in ASEAN. This parliamentary dimension is important because it provides broader legitimacy to the ASEAN project by bringing decision-making and its deliberation beyond the work of ministers and civil servants. Parliament's annual approval of the national budget and its scrutiny of budget execution is one of the legislature's major functions. Despite the variation of powers between the Member States' parliaments in affecting the budget, the impact of parliamentary debates and scrutiny of annual budgets and parliaments' drive for fiscal transparency, Parliamentarians are key stakeholders in enhancing budget transparency, especially by exercising their mandate to oversee the national budget.

Meanwhile, the Supreme Audit Institutions (SAI) of each Member State play prominent roles to promote good governance and transparency in the public sector by ensuring the sound implementation of the approved national budget. The auditing of government and public-sector entities by SAIs is crucial in trust-building processes for the citizens as the SAIs provide check-and-balance for custodians of public resources. Once SAIs' audit results have been made public, citizens will be able to hold the custodians of public resources accountable. In this way, SAIs promote the efficiency, accountability, effectiveness and transparency of public administration. An

independent, effective and credible SAI is therefore an essential component in a democratic system where accountability, transparency and integrity are indispensable parts of a stable citizen-state relationships. This is how public-sector auditing becomes an important factor in making a difference to the lives of citizens. At regional level, SAIs of the ten Member States of ASEAN established the ASEANSI as a platform for regional knowledge-sharing, networking and capacity development. The ASEANSI, as an entity associated with ASEAN, is of the view that collaboration with AIPA is needed to promote principles of good governance, especially in the area of financial transparency and accountability.

In relation to that, the ASEAN Secretariat, ASEANSI and AIPA organized this joint collaboration project to enhance regional cooperation in areas of good governance, transparency, accountability and inter-agency cooperation.

2. Objectives

The joint seminar had three main objectives:

1. To establish a foundation for future inter-agency collaboration, through networking, exchange and interaction;
2. To identify common issues of interests and develop a basis for future cooperation while establishing closer ties among ASEAN, ASEANSI and AIPA;
3. To help promoting good governance and improving accountability and transparency within Southeast Asia.

3. Participants

This joint seminar was attended by 120 participants from the three organizations and related institutions. Among the participants were H.E. Dr. (H.C.) Drs. H. Muhammad Jusuf Kalla, the Vice President of the Republic of Indonesia; Prof. Dr. Moermahadi Soerja Djanegara, Chairman of SAI Indonesia; H.E. Dr. Peter Schoof, Ambassador of the Federal Republic of Germany to Indonesia, ASEAN, and Timor Leste; H.E. Amb. Phasorn Sangasubana, Permanent Representative of the Kingdom of Thailand to ASEAN and Chair of the Committee of Permanent Representatives to ASEAN; Dr. AKP. Mochtan, Deputy Secretary General for Community and Corporate Affairs of ASEAN; Dr. Viengthong Siphandone, ASEANSI Chairperson; Mr. Isra Sunthornvut, Secretary General of AIPA; Mr. Bahtiar Arif, Head of ASEANSI Secretariat, Dr. Piyawat Sivarak, Representative of Chair of ACCSM; Dr. Fadli Zon, Chair of GOPAC. The full List of Participants is attached to this report (Annex I).

OPENING SESSION



Prof. Dr. Moermahadi Soerja Djanegara, Chairman of The Audit Board of the Republic of Indonesia, opened the joint seminar with his welcome remarks. He acknowledged that the joint seminar of ASEAN, ASEANSI and AIPA served as an important milestone for future cooperation and sustainability in promoting accountability and transparency in Southeast Asia. The institutions represented in the seminar all have critical roles to reach the strategic objectives of the ASEAN Economic Community, the ASEAN Security Community and the ASEAN Socio-Cultural Community 2025, promoting a more responsive ASEAN.

Moreover, he highlighted that the advance of digital technology contributed for greater transparency which strengthened the good governance in ASEAN, encouraged the expansion of opportunities across the ASEAN region and to achieve the 2030 Sustainable Development Goals (SDGs).

The Audit Board of Indonesia (BPK) individually and as part of ASEANSI recognized that accountability, transparency and integrity as well partnership with stakeholders are indispensable part of a stable democracy. Along with the ASEAN, ASEANSI and AIPA, BPK's future activities will contribute to the achievement of both national and regional objectives. Therefore, the importance of emphasizing on the shared visions, common interests and goals were considered as an important link to bridge the differences to continue to support peace and inclusive societies in the region.

Following the welcome remarks, the keynote speech was delivered by the **Vice President of the Republic of Indonesia, H.E. Dr.(H.C.) Drs. H. Muhammad Jusuf Kalla.**



Vice President Jusuf Kalla addressed the importance of collaboration between countries in ASEAN. The long-standing existence of ASEAN has proven that the association was successful in nurturing collaboration in various sectors. Collaboration, particularly in the public auditing sector, was one of the important factors to provide a transparent system and improved accountability of the economy of each

state.

ASEAN comprised of a diverse community with people from different backgrounds, ethnic groups and languages. In this seminar, the participants would learn from other experiences which may lead to the establishment of good collaboration activities. This was aligned with the spirit of the ASEAN community which prioritizes cooperation and collaboration. The Vice President praised this joint effort in promoting good governance, transparency and accountability.

Following the keynote speech, the Vice President officially opened the seminar by hitting a gong accompanied by the dignitaries of the three organizations; ASEAN, ASEANSI and AIPA.



Vice President Jusuf Kalla officially opened the joint seminar

SPECIAL REMARKS

**H.E. Dr. Peter Schoof,
Ambassador of the Federal Republic of Germany to Indonesia,
ASEAN and Timor Leste**



H.E Dr. Peter Schoof highlighted the importance of having good governance, parliaments and audit for a better future of ASEAN. He underlined the importance of the government within the region and that governments, parliaments and audit institutions needed to establish a good network for the enhancement of regional cooperation and development. For this, it required the development of mutual knowledge, benchmarking, and identification of best practices, cooperation and collaborative spirit.

Furthermore, the German Government shared the country experience in the use of public funds. Through oversight, an integrated method of audit, surveillance, and parliamentary scrutiny, ensuring accountability and transparency, it has contributed for a better connection between the state and the citizens.

As the representative of the state, the German Ambassador was pleased to announce the support and promised continued support of Germany to ASEAN organizations by sharing the experience of Germany within the country and in the European region in the area of capacity building, environmental and climate protection, and sustainable economic development through regional integration.

Dr. AKP. Mochtan, Deputy Secretary General for Community and Corporate Affairs of ASEAN



Dr. AKP. Mochtan began his remarks by emphasizing that accountability, transparency and governance are universal requirements which apply to all organizations, especially for public institutions as they are accountable to the public. He mentioned three important points to achieve good accountability, good transparency and good governance which were regulation, mindset and right institution. Regulation is critical for sustainability, ensuring transparency has taken place and as an umbrella for the law. Mindset is crucial as principle of a trustworthy individual involved.

In relation to promoting good governance and transparency, Dr. AKP. Mochtan talked about the revision of two key documents, namely ASEAN Staff Regulations and ASEAN Financial and Administration Procedures. The objective is for ASEAN Member States and agencies to build appropriate regional mechanism and instill a system that will safeguard, strengthen and enhance the capabilities in promoting transparency and accountability.

Dr. Viengthong Siphandone, ASEANSAI Chairperson



Dr. Viengthong Siphandone emphasized the prominent role of SAls to promote efficiency, accountability, effectiveness and transparency of public administration. By having the support from Parliament and government as SAI's main stakeholders, SAls may and can perform their role more efficiently and effectively.

Dr. Siphandone introduced the ASEANSAI and its activities since the establishment in 2011, focusing on capacity building in public sector auditing of its members states. With this, ASEANSAI contributes to the improvement of transparency and accountability

in the region.

One of strategic goals of ASEANSAI is to strengthen its active role within the ASEAN agenda and to improve the cooperation with regional organizations and international partners. Mrs. Siphandone expressed her confidence that this seminar would serve as a foundation for future inter-agency collaboration, through networking, exchange and interaction among the three associations. Thus, she affirmed the commitment to build future cooperation with ASEAN and AIPA, in promoting transparency and accountability in the Southeast Asian region.

Hon. Mr. Isra Sunthornvut, Secretary General of AIPA



Hon. Mr. Isra Sunthornvut noted that in this modern era, the indicator of the success of a country is not only through GDP, infrastructure, economic development or even the political development of a country, but also through the willingness of the government to be accountable and transparent to the people.

Mr. Sunthornvut acknowledged the gap of the idyllic concept of accountability and transparency and its implementation. Therefore, an alternative way to address the gap is to give the people the sense of responsibility and ownership in overseeing the institutions. This way it is believed to improve the people's sense of ownership in promoting accountability in the use of public funds deriving from tax money. Furthermore, Mr. Sunthornvut encouraged the members of AIPA to promote transparency through actions, as this good practices will contribute to transform the concept into the practices to improve accountability and transparency in the ASEAN region.



Group photo of the guests of honour, speakers and all participants of the joint seminar

HIGHLIGHTS OF THE SEMINAR

Throughout the whole joint seminar, inter-active exchange and inputs from the audience were encouraged and enabled through the event application “Wisembly”. Through this application, participants were able to find information and relevant documents for the seminar, such as the agenda, speakers’ profiles, as well as a feed including comments posted by the participants. The app also enabled the participants to ask questions and submit votes for proposed activities.

The joint seminar featured three main sessions with the following objectives:

1. Fostered inter-agency collaboration among ASEAN, ASEANSI and AIPA;
2. Accommodated seminar participants from different levels to get to know each other, and to exchange and identify future areas of cooperation;
3. Future joint activities were identified between these three organizations in 2019 – 2020 to promote transparency and accountability for good governance in the ASEAN region.

(From right to left): Mr. Michael Canares, the Facilitator, Mr. Lee Yoong Yoong of the ASEAN Secretariat, Hon. Mr. Bahtiar Arif of the ASEANSI and Hon. Mr. Isra Sunthornyut of the AIPA.



Session 1: Expressing A Collective Voice: Inter-agency Cooperation

Description:

The session aimed to showcase the three organizations' profiles, discussed commonalities and complementarity in thrusts, mandates, programs, and activities.

Speakers:

1. Mr. Lee Yoong Yoong, Director of Community Affairs of ASEAN Secretariat
2. Mr. Bahtiar Arif, Head of ASEANSAI Secretariat
3. Hon. Mr. Isra Sunthornvut, Secretary General of AIPA

Facilitator:

Mr. Michael Canares

Summary of the Presentation

Following the presentation of three videos of all organisations, the first open question addressed to the panel was:

How would you describe your organization's biggest contribution to strengthen transparency and accountability in the ASEAN region?

ASEAN Secretariat introduced the ASEAN Charter as the codes of conduct for both ASEAN Member States and ASEAN personnel. In addition, on a daily operation, the ASEAN Secretariat are guided by the ASEAN Secretariat's Staff Regulation (ASSR) and the ASEAN Financial Rules and Administrative Procedures (AFARP).

ASEANSAI underlined that one of the core organization's activities is to build capacity together of SAI member states both institutionally and regionally to promote transparency, accountability and good governance.

AIPA underlined that accountability and transparency were the two things that have been embedded in each member of the Parliaments, otherwise they would not be voted again by the constituents.

The question from Wisembly application asked about the readiness of these three organizations in facing the scrutiny of their operations.

ASEAN Secretariat faces scrutiny regularly every day from ASEAN Member States, through organs like the Committee of Permanent Representatives (CPR) of ASEAN. There is also has the ASEAN sub-committee for budget, annual internal and external audit review, among others, to work with the ASEAN Secretariat.

ASEANSAI appointed an independent auditor from the members of ASEANSAI who audits the yearly financial statement. In the audit community, ASEANSAI has a model of leading by example. This means that the institution would have to be ready to be scrutinized, audited and watched by anyone who would like to see good governance of the institution.

AIPA stated that each organization would have its own internal auditor and its accountability would be open to public. AIPA is accountable for the members, and every year in its General Assembly, there would be a session where members could scrutinize the budget, the main source of which is taxpayer money.

The other question received from the application is how to promote or cultivate good governance mindset in the organization? There was also a related question concerning any support for the capacity building for Members of Parliament and the staff related to audit professions.

ASEAN Secretariat underlined the importance of having the ASSR and AFARP, in which the whistle-blower's identity to any wrongdoings is protected and concealed. .

ASEANSAI highlighted that the advantage of being a regional organization, the ASEANSAI shared similar characteristics and cultures that would be a great asset to build together their capacity , upholding ASEAN values in fostering good governance.

The facilitator then asked the speaker to explain the meaning of doing governance “the ASEAN Way” and about implications of ASEAN values, and why it would be different from any other ways elsewhere.

ASEANSAI; To implement good principles for ASEANSAI's work, one needs to look at the value of the people in ASEAN, such as the characteristics and the culture. This should also apply when issues are being raised, and it would thus be proper to solve any issues the 'ASEAN way'.

AIPA emphasized that ASEAN may not be operating similar to other entities such as the European Union, as it has different culture and characteristics of the people between these two regions. Each has its strength and uniqueness.

The next questions related were about overcoming one of the challenges among member SAIs which is confidentiality policy to prevent public exposure and corruption prevention among ASEAN countries.

ASEAN Secretariat highlighted the most critical part in a corruption case would not just be on the rule of transparency, but also on the law enforcement in dealing with the cases.

ASEANSAI noted that since there was no prior collaboration with the other two organizations, this would be a proper forum for good governance and for discussions on challenges that some members of ASEANSAI have. This included initiative to build a regulatory framework to communicate with the two other organizations for a better good governance in ASEAN.

AIPA shared similar view on preventive actions by having regulations and policies being put in place, as well as law enforcement in dealing with corruption cases.

The facilitator then asked about the opportunities for the three organizations to work together in the future in the area of promoting transparency, accountability and good governance.

ASEAN Secretariat recognized that there were many potential areas for collaboration between these three organizations. For example to engage common external auditors or applying common financial rules as these three organizations are based in Jakarta, making it easier to enable good coordination.

ASEANSAI welcomes future collaboration with the other two organizations. ASEANSAI would seek help or share concerns and experiences in conducting the audit mandates or good governance with the other organizations. Organizations should begin the collaboration work from small things, such as inviting the organization's representatives to meetings or assemblies, so that they could share their concerns on topics discussed.

The facilitator then raised a question on the potential outreach activities between these three organizations.

ASEANSAI stated the possibility to enhance collaboration among the organizations through maintaining close communication, having regular meetings with the other two organizations, and discussing on programs. The results would be shared to members, such as initiatives, proposal, ideas and so forth.

AIPA recognized the challenge to conduct outreach. The organization therefore should find a way to assert its importance to the lives of the people. As for the collaboration within the three organizations, initiative such as conducting the joint seminar is considered as a good step forward.

Key Findings

- Capacity building for SAIs, having code of conducts for member states and ASEAN personnel, a good track record of the parliament members could be highlighted as good practices implemented by the three organizations. As public organizations, the three entities recognized the importance of 'leading by example' to ensure accountability and transparency. Institutions have to be ready to be scrutinized, audited and watched by anyone who would like to see good governance of the institutions.
- Policies and regulations as well as law enforcement were considered effective ways to prevent and addressing the corruption.
- Potential collaboration between these three organizations should be started with small steps such as the joint seminar, inviting the organizations' representatives to meetings and activities, so that they could share their concerns on topics discussed.

Session 2: Transparency and Accountability: Finding a Common Ground

Description:

This session aimed to showcase good practices in the implementation of projects and initiatives to promote transparency and accountability in the region, to serve as an inspiration to participants in defining which initiatives ASEAN, ASEANSI and AIPA could explore together in the future.

Speakers:

1. **Dr. Piyawat Sivarak**, Representative of Chair of ASEAN Cooperation for Civil Service Matter (ACCSM)
2. **Dr. Fadli Zon**, Chair of Global Organization for Parliamentarians Against Corruption (GOPAC)
3. **Mr. Michael Aguinaldo**, ASEANSI Chair of Training Committee

Facilitator:

Mr. Michael Canares

Summary of the Presentation



Dr. Piyawat Sivarak explained what a civil servant actually was and his/her critical role in promoting sustainable development in each country and good governance in the ASEAN community.

Mr. Sivarak's presentation explained the history of ACCSM, previously known as ASEAN Conference on Reform in Civil Service (ACRCS), founded in 1981. Dr. Sivarak stated the potential area of collaborations in the future, including education, labour, disaster management, or gender mainstreaming. He encouraged organizations to expand partnership and strengthen tools in promoting sustainable civil service management in countries and in the region.

Dr. Fadli Zon highlighted the importance of transparency and accountability for good governance. Dr. Zon recognized the importance of stakeholders' participation to ensure the success of anti-corruption activities and offered more comprehensive solutions in addressing corruption issues. He acknowledged that cooperation between parliaments and SAs is essential to improve accountability and inclusive participation for sustainable development as well as enhancing the public financial management.



Dr. Zon further mentioned that, since its establishment in 2002, GOPAC has been actively involved in the global anti-corruption network and in fostering a strong anti-corruption partnership among parliamentarians. He mentioned activities including engagement with other stakeholders and a number of publications such as parliamentary handbook, that has been translated and adapted into country contexts.

The contributing factors that made GOPAC's work possible were among others: (1) Created practical guideline based on the need assessment of parliamentarians, (2) fostered strategic partnership with stakeholders and (3) continued to promote transparency and accountability within the institution.

GOPAC offered four recommendations to continue supporting the improvement of the transparency and accountability within the region. The first, to ensure that an effective democracy building process is taking place in the region. The second, to ensure that ASEAN ways of consensus built upon the commitment of transparency and accountability. The third, to encourage all ASEAN parliaments to strengthen public financial oversight mechanism by establishing Public Account Committees (PAC). The fourth, to mainstream and take the good practices forward within the AIPA framework.



Mr. Michael Aguinaldo emphasized the critical role of SAIs to promote public trust in governments through transparency and accountability. ASEANSAI Training Committee has conducted several capacity building programs based on the member states' need assessment.

ASEANSAI has trained a total of 130 auditors and established a pool of certified ISSAI facilitators and trainers. However, the success of these initiatives depend on the commitment of the ASEANSAI members to replicate and embed these programs into their own SAI. In this way, the ASEANSAI Training Committee could assess the impact of programs in improving the capacity of SAIs to promote transparency and accountability in the country.

Mr. Aguinaldo concluded his remarks by highlighting four lessons learned from the implementation of Training Committee activities: (1) the importance of SAI leadership's support to the trained activities to establish their credibility among their peers in the SAI, (2) the involvement of the trained and certified ISSAI facilitators in SAI's capacity-building activities, (3) SAI to SAI support as in the case of the Trilateral Cooperation Project and the ASEANSAI-IDI Cooperative Financial Audit; and (4) the monitoring of the ISSAI's implementation through regular reporting or in knowledge sharing activities such as at the Senior Officials Meeting (SOM).

Q & A (from Wisembly app):

Is there any ASEAN civil servant competency standard?

Dr. Piyawat Sivarak responded that each country has different competency standards or frameworks. Therefore the platform to exchange information and share experiences on regional level is important, as it was done by the ACCSM.

Are the trainings conducted by the ASEANSAI applicable to the auditors of member states?

Mr. Michael Aguinaldo responded that the idea for Training of Trainer (ToT) is that the certified trainers or facilitators would be able to conduct trainings for ASEANSAI auditors as well as share the knowledge for the auditors in their own SAIs.

Key Findings

1. The critical role of civil servant to improve good governance in the region has been recognized by the ASEAN leaders by signing the ASEAN declaration on the role of the civil services at the Summit in Manila in April 2017.
2. The importance of stakeholders' participation, as well as establishing collaboration among organization, such as joint activities between SAls and Parliament to improve public financial mechanism and quality management of public financial management for accountability and sustainable development.
3. Capacity building among ASEAN members could only success if the country is willing to adopt the programs into their country context, as well as utilizing the trained and certified auditors not only on country level, but also at regional level, as it is enabled through regional institutions like ASEANSAl.

Session 2: Breakout Session/Group Discussion

The first part of the session was followed by a one-hour breakout group session to discuss potential collaborative projects that ASEANSAl, ASEAN and AIPA could undertake together to promote transparency and accountability for good governance in the region. The participants were divided into four groups based on the color of their lanyard; red, yellow, green and blue.

Each group consisted of a facilitator, a note-taker and 10 – 14 participants from different organizations. The results of discussions of each group were presented in the third session after the presentation of the speakers.

Group Facilitator and Note taker	
1. Ms. Juska Meidy Enyke Sjam 2. Mr. Wahyudi	1. Mr. Bernardo R. Agawin, Jr 2. Ms. Putri Maha Lima
1. Mr. Lee Yoong 2. Mr. Andhie Ananta	1. Ms. Birthe Meyer 2. Ms. Ami Rahmawati

Session 3: Expressing A Collective Voice: Inter-agency Cooperation

Description:

The session aimed to identify areas of collaboration for ASEAN, ASEANSI and AIPA in promoting transparency and accountability in the region. These ideas, proposals, and suggestions were prioritized and discussed to be taken forward by the technical teams of each organization after the seminar.

Speakers:

1. Dr. Piyawat Sivarak, Representative of Chair of ASEAN Cooperation for Civil Service Matter
2. Mr. Roslan Abu Bakar, Representative of ASEANSI Chair of Knowledge Sharing Committee

Facilitator:

Mr. Michael Canares

Summary of the Presentation

Dr. Piyawat Sivarak shared ACCSM activities, as well as identified potential opportunities for collaboration among the three organizations. Furthermore, ACCSM expanded its network to work beyond ASEAN level to learn substantive matters relevant for ACCSM's work. ACCSM welcomes opportunities to share and exchange knowledge with other organizations in the area of competency, ethics, good governance, leadership, training and development and many more. He encouraged organizations to know and learn about each other's work to understand the needs and establish a common ground for future collaboration.



Mr. Roslan Abu Bakar recognized that the collaborative effort of the jointly organized seminar was a stepping stone for the future. He noted that in order to work together as a team, the three organizations could find common grounds and jointly build a strategic plan based on shared objectives and goals, such as the alignment with global goals such as SDGs.

Mr. Abu Bakar highlighted the importance of promoting SAI independence, as well as implementing SAI Performance Management Framework (PMF) and Key National Indicator (KNI) in order to strengthen ASEANSI members. The implementation of PMF is a holistic and evidence-based framework to assess SAI performance in accordance with the ISSAIs. He also encouraged using KNI to measure the national performance and to evaluate impacts. The government can use the results for strategic planning, facilitating effective policy analysis, reporting as well as conducting program evaluation.

Summary of Breakout Group Discussions

Each group appointed a representative to present their top three proposals or suggestions on potential future collaborative actions for ASEAN, ASEANSI and AIPA in promoting transparency and accountability for good governance in the ASEAN region.

Summary of proposed activities by Red Group

1. Encouraging capacity building in the form of joint research on regulation/training, best practices and learning exchange (**result:** 91% chose this proposal via Wisembly);
2. Encouraging organizational development by establishing a joint committee of SAs – MPs – Government (**result:** 61% chose this proposal via Wisembly).
3. Encouraging awareness campaign by having an ASEAN day for good governance (**result:** 19% chose this proposal via Wisembly);

Most voted proposal:

**Encouraging capacity building in the form of joint research on regulation/training, best practices and learning exchange
(result: 91% chose this proposal via Wisembly);**



Summary of proposed activities by Green Group

1. Organizing capacity building that includes a presentation on audit reports to non-audit based fellows (**result:** 78% chose this proposal via Wisembly);
2. Engagement of the highest decision makers among the three organizations (**result:** 59% chose this proposal via Wisembly).
3. Encouraging people involvement through bottom up-up approach. Thus, ASEANSAI to become ASEAN auditors because there are certified auditors to improve the organizations better (**result:** 40% chose this proposal via Wisembly);

Most voted proposal:

**Organizing capacity building that includes a presentation on audit report
to a non-audit based fellows
(result: 78% chose this proposal via wisembly);**



Summary of proposed activities by Yellow Group

1. Creating a focus group involving ASEAN, ASEANSAI and AIPA and other stakeholders to discuss common issues that are cross cutting, e.g. the challenges and issues in implementing SDGs and cross-border issues (**result:** 73% chose this proposal via Wisembly);
2. Promoting financial autonomy (including human resources) of SAI's and support for SAI's independence by parliaments (**result:** 57% chose this proposal via Wisembly).
3. Creating a mechanism to allow ASEANSAI through external auditors to conduct audit on ASEAN entities that receive funds (annual contribution) from ASEAN public fund (**result:** 42% chose this proposal via Wisembly);

Most voted proposal:

**Creating a focus group involving ASEAN, ASEANSAI and AIPA and other stakeholders to discuss common issues that are cross cutting, e.g. the challenges and issues in implementing SDGs and cross-border issues
(result: 73% chose this proposal via wisembly);**



Summary of proposed activities by Blue Group

1. Organizing baseline and joint research in that there is a relationship between government, SAI and parliament (understand SAI reports); this entails how the three organizations disclose financial operation and also a lobby for institutionalization of public accounts committees in parliaments (**result:** 77% chose this proposal via Wisembly).
2. Organizing regular exchange formats in the form of workshops on strategic direction of cooperation or workshop in technical level or participation at ASEAN Summit 2019 (**result:** 62% chose this proposal via Wisembly);
3. Encouraging establishment in the form of a good governance committee that is represented by all three organizations (**result:** 37% chose this proposal via Wisembly); and

Most voted proposal:

Organizing regular exchange formats in the form of workshops on strategic direction of cooperation or workshop in technical level or participation at ASEAN Summit 2019 (result: 62% chose this proposal via wisembly);



Q & A (from the floor):

The facilitator summarized that there was a strong interest of commitment for collaborative actions among the three organizations starting from the first session, and there were concrete proposals from participants in discussing future works.

Key Findings

- Engagement of the highest decision makers among the three organizations is important.
- Potential areas of future collaboration among the three organizations are knowledge sharing, capacity building and joint activities based on the common interests and common goals, such as aligning the goals with global agendas such as SDGs.
- Establishment of linkages between SAIs and parliament will improve accountability in financial management as well as to lobby the creation of Public Accounts Committees in parliaments

CLOSING SESSION



H.E. Ambassador Phasporn Sangasubana, the Permanent Representative of the Kingdom of Thailand to ASEAN, in her capacity as Chair of Committee of Permanent Representatives, officially closed the one-day joint seminar by delivered her remarks. She highlighted the ASEAN commitment to the principles of democracy, rule of law and governance as stated in the ASEAN Charter.

ASEAN has established many partnerships and has engaged with various stakeholders both within and outside ASEAN. The joint collaboration activity between ASEAN, ASEANSI and AIPA demonstrated a good practice in establishing cooperation within the ASEAN community which is people-centered and future-oriented for good governance. Furthermore, she highlighted that the voice of ASEAN entities should be heard in working together towards realization of the ASEAN Community Vision 2025.

ANNEXES

LIST OF ANNEXES

1. Joint Press Release
2. Agenda
3. List of Participants
4. Organizing Team
5. Speeches
6. Speakers Profile
7. Speakers Presentation



FOR IMMEDIATE RELEASE

JOINT PRESS RELEASE

ASEAN – ASEANSAI – AIPA JOINT SEMINAR “Laying the Foundation for Future Cooperation in Promoting Accountability and Transparency in Southeast Asia”

JAKARTA, 26 February 2019 – The Vice President of the Republic Indonesia Jusuf Kalla opened the first seminar promoting accountability and transparency in Southeast Asia in Jakarta this morning. The seminar was jointly organised by ASEAN Secretariat (ASEC), ASEAN Supreme Audit Institutions (ASEANSAI) and ASEAN Inter-Parliamentary Assembly (AIPA).

The three organisations recognise the need to build connections beyond the government level to promote accountability and transparency in ASEAN, benefitting the people. With the support of the German Government, through the “ASEC 2025”, “Support to ASEANSAI” and “AIPA4ASEAN” projects, implemented by GIZ, the seminar brought together around 100 senior officials and representatives of the three organisations and other entities in ASEAN.

In his Special Remarks, the Deputy Secretary-General (DSG) of ASEAN for Community and Corporate Affairs, Dr AKP Mochtan, commended the timely convening of this important seminar, which he believes will contribute greatly to the advancement of the ASEAN Community as a whole. He highlighted that governments and public institutions usually use regulations to promote accountability and transparency. In this regard, he urged all ASEAN agencies to work together with the ASEAN Secretariat and to reinforce the importance of promoting good governance, which is a critical feature in the “ASEAN 2025 Vision: Forging Ahead Together”.

Chairperson of ASEANSAI and President of the State Audit Organization of Lao PDR, Dr. Viengthong Siphandone, expressed her appreciation for the initiative to firstly co-organise this event. “Ten Supreme Audit Institutions (SAI) in ASEAN have established the regional association ASEANSAI, in 2011 in Bali, to promote capacity building in public sector auditing of its members. This way, ASEANSAI is contributing to the improvement of transparency and accountability in Southeast Asia,” she said. Dr. Siphandone further explained that SAIs in ASEAN have contributed to the audit of financial statements of the ASEAN Secretariat every year. Supreme Audit Institution plays a prominent role to promote the efficiency, accountability, effectiveness and transparency of public administration. “With the support of Parliaments and governments, as SAI’s main partners, SAIs will perform their role more efficiently and effectively in their respective countries,” she highlighted.

Dr. Siphandone assured ASEANSai's commitment to build future cooperation with ASEC and AIPA, to promote transparency and accountability in Southeast Asia.

AIPA Secretary-General Isra Sunthornvut appreciated the ASEANSai for initiating a joint event on such important issue. He acknowledged the importance of having accountability for parliaments as well as having internal mechanism in each country to ensure the accountability to happen. He admitted, however, that applying accountability for regional organisations like ASEAN, ASEANSai and AIPA, where there was little to no public interest, remained a challenge. Who and how to set the standard were other challenges, he added. Further, he stated that to make these regional organisations more people-oriented and people-centred, there has to be not only more people participation, but also a willingness from the organisations to be scrutinised and checked. "Otherwise, like the present-day ASEAN Community, we will be just another name that has no emotional connection to the people," he said.

During the seminar, participants identified good practices in their work to promote transparency and accountability of the public sector. They explored common interest and opportunities for future collaboration between ASEAN, ASEANSai and AIPA to strengthen relationships and synergies of their works to contribute to Good Governance in ASEAN. This inaugural event of cooperation among the three ASEAN entities will develop concrete proposals for collaborative action in the future, to jointly work towards more transparency and accountability in the region. More information about the seminar can be found on the websites www.aseansai.org and www.aipasecretariat.org.

For more information and media inquiries about the press release, please contact:

Name : Andreas D.Arditya
Organization : ASEAN Secretariat
Email and telephone : andreas.arditya@asean.org, +62 856 93 1881 81

Name : Izzah Annisa
Organization : ASEANSai Secretariat
Email and telephone : aseansai@bpk.go.id, +62 853 19 7272 32

Name : Putri Maha Lima
Organization : AIPA Secretariat
Email and telephone : putri@aipasecretariat.org, +62 821 1417 3775



AGENDA

ASEAN – ASEANSAI – AIPA JOINT SEMINAR “Laying the Foundation for Future Cooperation in Promoting Accountability and Transparency in Southeast Asia”

Sheraton Grand Jakarta Gandaria City Hotel
Tuesday, 26 February 2019

Time	Agenda
08.00 – 09.00	Registration
09.00 – 09.30	Opening Ceremony
09.00-09.10	Welcome Remarks by H.E. Prof. Dr. Moermahadi Soerja Djanegara, Chairman of BPK
09.10-09.20	Keynote Speech by H.E. M. Jusuf Kalla, Vice President of Republic of Indonesia
09.20-09.30	Official Opening & Photo Session
09.30-09.45	Coffee Break
09.45-10.00	Special Remarks: <ol style="list-style-type: none"> 1. H.E Dr. Peter Schoof, Ambassador of the Federal Republic of Germany to Indonesia, ASEAN, and Timor Leste 2. H.E. Dr. AKP. Mochtan, Deputy Secretary-General for Community and Corporate Affairs of ASEAN 3. H.E. Madam Dr. Viengthong Siphandone, ASEANSAI Chairperson 4. Hon. Mr. Isra Sunthornvut, Secretary General of AIPA
10.00 – 12.00	Session I: Expressing A Collective Voice: Inter-agency Cooperation <i>In this session, ASEAN, ASEANSAI and AIPA will present themselves and discuss commonalities and complementariness in thrusts, mandates, programs, and activities.</i> <ul style="list-style-type: none"> • Brief introduction of ASEAN, ASEANSAI & AIPA vis-à-vis accountability and transparency (video presentation, talk show & discussion) • Sharing organizations’ best practices to improve transparency and accountability in Southeast Asia • Exploring common interest and opportunities for future collaboration <p>Speakers:</p> <ol style="list-style-type: none"> 1. Mr. Lee Yoong Yoong, Dir. Community Affairs of ASEAN 2. Hon. Mr. Bahtiar Arif, Head of ASEANSAI Secretariat 3. Hon. Mr. Isra Sunthornvut, Secretary General of AIPA
12.00 – 13.00	Lunch Break

Time	Agenda
13.00 – 14.00	<p>Session II: Transparency and Accountability: Finding a Common Ground <i>Through lightning talks, the three organizations will present their activities and projects in promoting transparency and accountability in the region. Participants will be inspired to identify initiatives that ASEAN, ASEANSAl and AIPA can explore together in the future (talk show/ presentation and discussion).</i></p> <p>Speakers:</p> <ol style="list-style-type: none"> 1. H.E. Fadli Zon, Chair of Global Organization for Parliamentarians Against Corruption 2. Hon. Dr. Piyawat Sivarak , Representative of Chair of ASEAN Cooperation for Civil Service Matter 3. H.E. Michael Aguinaldo, ASEANSAl Chair of Training Committee
14.00 – 15.00	<p><i>Breakout groups will collaboratively define a set of possible initiatives that the three organizations may want to explore in the future, to promote transparency and accountability in the region.</i></p>
15.00 – 15.30	<p><i>Coffee Break</i></p>
15.30 – 16.45	<p>Session III: Envisioning the Future: Defining Collaborative Actions <i>The speakers will share their views and perspectives on the future potential collaboration areas for ASEAN, ASEANSAl and AIPA to strengthen transparency and accountability in the region.</i></p> <p>Speakers:</p> <ol style="list-style-type: none"> 1. Hon. Dr. Piyawat Sivarak , Representative of Chair of ASEAN Cooperation for Civil Service Matter 2. Hon. Roslan Abu Bakar, representative of ASEANSAl Chair of Knowledge Sharing Committee <p><i>Presentation of the group discussions’ results on ideas, proposals, or suggestions for future collaboration of these three organizations. The proposals will jointly be prioritized and discussed and hopefully will to be taken forward after the seminar.</i></p>
16.45 – 17.00	<p>Closing Remarks by H.E. Amb. Phasporn Sangasubana, Permanent Representative of the Kingdom of Thailand to ASEAN as Chair of Committee of Permanent Representatives</p>

LIST OF PARTICIPANTS



ASEAN - ASEANSAI - AIPA JOINT SEMINAR
"Laying the Foundation for Future Cooperation in Promoting Accountability and Transparency
in Southeast Asia"
Jakarta-Indonesia, 26 February 2019

LIST OF PARTICIPANTS

No	Institution	Name	Designation
1. ASEAN-RELATED ENTITIES			
1	Permanent Mission of the Philippines to ASEAN	Mr. Jonathan Chua	Third Secretary
2	Permanent Mission of the Kingdom of Thailand to ASEAN	Amb. Phasporn Sangasubana	Permanent Representative of the Kingdom of Thailand to ASEAN
3	Permanent Mission of Lao PDR to ASEAN	Mr. Sengpraarthid Snookphone	Deputy Permanent Representative
4	Permanent Mission of Lao PDR to ASEAN	Mr. Khambou Thammavong	Second Secretary
5	ASEAN Cooperation on Civil Service Matters (ACCSM)	Dr. Piyawat Sivaraks	Deputy Secretary-General, Office of the Civil Service Commission of Thailand
6	ASEAN Cooperation on Civil Service Matters (ACCSM)	Mr. Ruchipas Janyasri	Human Resource Officer, Office of the Civil Service Commission of Thailand
7	ASEAN Foundation	Ms. Piranti Savitri	Head of Finance and Administration
8	ASEAN Centre for Energy	Ms. Adiskiya Rinintasari	Executive Assistant
9	ASEAN Centre for Energy	Mr. Randy Wynston	ICT Officer
10	National Committee of Cambodia ASEAN Law Association	H.E. Mr. Prom Vichethakara	Permanent Vice President of NCCALA Cambodia
11	National Committee of Cambodia ASEAN Law Association	Ms. Tan Chornay	Secretariat General Members of ALA Cambodia
12	National Committee of Cambodia ASEAN Law Association	Ms. Mong Rina	Secretariat General Members of ALA Cambodia
13	ASEAN Law Association - Indonesia	Prof. Dr. Sidik Suraputra	Vice Chairman

14	ASEAN Law Association - Indonesia	Dr. Susanti Adi Nugroho	Vice Chairman
15	ASEAN Law Association - Indonesia	Mr. Normin S Pakpahan	Coordinators Legal Education
16	ASEAN Mayors Forum	Ms. Thanawan Klumklomchit	Head of Division of Communications and Member Services, United Cities and Local Governments Asia Pacific (UCLG ASPAC)
17	ASEAN CSR Network	Mrs. Yanti Triwadiantini	Chair of Board of Trustees
18	Corruption Eradication Commission (KPK) Indonesia (SEA-PAC Member)	Ms. Miranti Martin	International Cooperation Specialist
19	Corruption Eradication Commission (KPK) Indonesia (SEA-PAC Member)	Mr. Danu Mahardika	Cooperation Specialist
20	Corruption Eradication Commission (KPK) Indonesia (SEA-PAC Member)	Mr. Achmad Taufik	Cooperation Specialist
21	Corruption Eradication Commission (KPK) Indonesia (SEA-PAC Member)	Mr. Nurtjahyadi	Cooperation Specialist
22	Anti-Corruption Unit Cambodia (SEA-PAC Member)	Dr. Panhha Orn	Assistant to Anti-Corruption Unit Cambodia
23	Anti-Corruption Unit Cambodia (SEA-PAC Member)	Ms. Ouk Chansopheata	Deputy Chief of the Cabinet
24	Anti-Corruption Unit Cambodia (SEA-PAC Member)	Ms. Dim Tithkanha	Secretary of the Cabinet
25	ASEAN Institute for Peace and Reconciliation (ASEAN-IPR)	Mr. Rif'at S. Fachir	Communications Officer
26	ASEAN Institute for Peace and Reconciliation (ASEAN-IPR)	Mr. Sigit Sutrisno	Officer
27	ASEAN Secretariat	Dr. AKP Mochtan	Deputy Secretary-General of ASEAN for Community and Corporate Affairs
28	ASEAN Secretariat	Mr. Lee Yoong Yoong	Director of Community Affairs Directorate

2. ASEANSAI MEMBERS			
29	State Audit Organization of Lao PDR	H.E. Mdm Dr. Viengthong Siphandone	ASEANSAI Chairperson, President of SAO Lao PDR
30	State Audit Organization of Lao PDR	Mr. Heng Khanthavisay	Deputy Director General
31	State Audit Organization of Lao PDR	Mrs. Toulavanh Phimmasone	Deputy Director General
32	State Audit Organization of Lao PDR	Mrs. Vilayvanh Keokeanchanh	Director of Division
33	State Audit Organization of Lao PDR	Mr. Bounthavy Philavong	Deputy Director of Division
34	State Audit Organization of Lao PDR	Mr. Bountha Phetdala	Deputy Director of Division
35	State Audit Organization of Lao PDR	Mr. Sannaly Tayxayavong	Officer
36	State Audit Organization of Lao PDR	Mrs. Vanida Phorphilavong	Officer
37	Audit Board of the Republic of Indonesia	Mr. Slamet Kurniawan	Director General of Planning, Evaluation, and Development
38	Audit Board of the Republic of Indonesia	Mr. Hery Subowo	Director General of BPK Institute
39	Audit Board of the Republic of Indonesia	Mr. Bahtiar Arif	Head of ASEANSAI Secretariat, Secretary General of BPK
40	Audit Board of the Republic of Indonesia	Mr. Syamsudin	Senior Adviser
41	Audit Board of the Republic of Indonesia	Mr. Novy G.A. Pelenkahu	Senior Adviser
42	Audit Board of the Republic of Indonesia	Mr. Edward G.H. Simanjuntak	Senior Adviser
43	Audit Board of the Republic of Indonesia	Mr. B. Dwita Pradana	Senior Adviser
44	Audit Board of the Republic of Indonesia	Mr. Gunarwanto	Director on Standard Development and Evaluation of BPK Institute
45	Audit Board of the Republic of Indonesia	Mrs. Juska Meidy Enyke Sjam	Director of Public Relations and International Cooperation Bureau
46	Commission on Audit of Philippines	H.E. Mr. Michael G. Aguinaldo	Chairperson

47	Commission on Audit of Philippines	Mr. Alexander B. Juliano	Assistant Commissioner
48	Commission on Audit of Philippines	Ms. Gloria M. Bacani	Director
49	National Audit Authority of Cambodia	Mr. Chea Sophat	Director of International Relations Department
50	National Audit Authority of Cambodia	Ms. Dun Thavy	Chief of Bilateral Affairs Office
51	Office of the Auditor General of the Union	Ms. Naing Thet Oo	Permanent Secretary
52	Office of the Auditor General of the Union	Ms. Khin Khin Thi	Deputy Director General
53	State Audit Office of the Kingdom of Thailand	Dr. Sirin Phankasem	Deputy Auditor General
54	State Audit Office of the Kingdom of Thailand	Mrs. Thanyarat Chokviriyakorn	Director of International Affairs Office
55	State Audit Office of Viet Nam	Mr. Nguyen Viet Hung	Deputy Director-General
56	State Audit Office of Viet Nam	Ms. Nguyen Bich Diep	Official
57	State Audit Office of Viet Nam	Ms. Bui Thi Phuong Lien	Official
58	National Audit Department of Malaysia	Mr. Roslan Abu Bakar	State Audit Director of Selangor
59	National Audit Department of Malaysia	Mr. Alias Alwi	Director of International Relations Division
60	National Audit Department of Malaysia	Mrs. Azizah binti Daud	Principal Assistant Director
61	National Audit Department of Malaysia	Mrs. Natasha binti Muhammad Merejok	Senior Assistant Audit Director

3. AIPA MEMBERS			
62	Global Organization for Parliamentary Against Corruption (GOPAC)	H.E. Mr. Fadli Zon	Chair of GOPAC, Deputy Speaker of House of Representatives of Indonesia
63	Global Organization for Parliamentary Against Corruption (GOPAC)	Mrs. Endah Retno Astuti	Executive Director
64	Global Organization for Parliamentary Against Corruption (GOPAC)	Mr. M. Tri Andhika	Expert Staff
65	Global Organization for Parliamentary Against Corruption (GOPAC)	Mr. Tarli Nugroho	Expert Staff
66	Global Organization for Parliamentary Against Corruption (GOPAC)	Mr. Mustafa Kamal	Staff
67	Global Organization for Parliamentary Against Corruption (GOPAC)	Mr. Tegar Aziz	Staff
68	Global Organization for Parliamentary Against Corruption (GOPAC)	Mr. Edison	Aide-de-Camp
69	Global Organization for Parliamentary Against Corruption (GOPAC)	Mr. Insan Abdirrohman	Staff
70	Global Organization for Parliamentary Against Corruption (GOPAC)	Ms. Anindita Kusuma Listya	
71	Global Organization for Parliamentary Against Corruption (GOPAC)	Ms. Dessy Pramita	
72	Cambodia National Assembly	H.E. Mr. Yim Leat	MP, Member of the Commission on Economy, Finance, Banking and Audit
73	Cambodia National Assembly	Mr. LY Mengtyth	Chief of ASEAN-AIPA Office
74	Cambodia National Assembly	Mr. Khlok Sous Bolatine	Deputy Director of Legal Department
75	National Assembly of Lao PDR	Dr. Souksavanh Vilayvong	Member of Parliament

76	National Assembly of Lao PDR	Mr. Leevue Nengthong	Deputy Chief
77	Parliament of Malaysia	Hon. Mr. Wong Hon Wai	Member of Parliament, Public Accounts Committee
78	Parliament of Malaysia	Mr. Ahmad Johan bin Mohd Afandi	Chief Secretariat of the Public Accounts Committee
79	Union Assembly of Myanmar	Hon. Mr. Khin Maung Than	Secretary, Joint Public Accounts Committee
80	Union Assembly of Myanmar	Ms. Thuzar Htwe	Deputy Director
81	Philippine House of Representatives	Mr. Munir Arbison	Deputy Speaker
82	Philippine House of Representatives	Mr. Mohammad B Sarapuddin	Director II
83	AIPA Secretariat	Mr Isra Sunthornvut	Secretary General
84	AIPA Secretariat	Ms. Putri Maha Lima	Director of Policy, Education, and Publicity
4. OTHER ORGANIZATIONS			
85	GIZ	Mr. Zulazmi	Deputy Country Director Indonesia & Timor Leste
86	GIZ	Ms. Maria-Jose Poddey	Lead Advisor ASEAN
87	GIZ	Ms. Birthe Meyer	Principal Advisor/Project Manager - Support to ASEANSAl
88	GIZ	Dr. Dennis Roy	GIZ Consultant
89	GIZ	Ms. Jana Leutner	GIZ Consultant
90	GIZ	Ms. Sita Zimpel	Principal Advisor - Consumer Protection in ASEAN (PROTECT) project

91	GIZ	Mr. Jörg Hager	Principal Advisor - ASEC 2025
92	GIZ	Mr. Bernardo Agawin	Project Manager - AIPA Project
93	EU Delegation to Indonesia and Brunei Darussalam	Mr. Pierre Destexhe	Attache - Programme Manager of the European Union
94	Philippine Embassy, Jakarta	Mr. Alexander J. Araque	Economic Attache

ORGANIZING TEAM

ORGANIZING TEAM

ASEAN:

Coordinator:

1. DSG. AKP. Mochtan
2. Mr. Lee Yoong Yoong

Team:

1. Mr. Andhie Ananta
2. Mr. Andreas Dimas Arditya
3. Ms. Aulia Adila
4. Ms. Darumuti Ratmoko
5. Ms. Destya Pahnael
6. Ms. Fitria Ruthi Maharani
7. Ms. Margareth Naulie Panggabean

ASEANSAI

Coordinator:

1. Mr. Bahtiar Arif
2. Ms. Juska Sjam
3. Mr. Wahyudi

Team:

1. Ms. Ami Rahmawati
2. Mr. Andriyanto Saputro
3. Mr. Burhanul Marogi
4. Ms. Dominika Rosana
5. Ms. Eva Dini Susanti
6. Ms. Izzah Annisa;
7. Ms. Kanti Sulistyowati;
8. Ms. Margareta Puput Kurniawati
9. Ms. Ni Luh Made D.S
10. Ms. Sylvia Ika Oktavi

11. Ms. Yuli Puspitasari
12. Ms. Zikra;

AIPA

Coordinator: Mr. Mario Pandu Dewono

Team:

1. Mr. Efran Arieza
2. Ms. Putri Maha Lima
3. Ms. Putri Gita Chandra Lubis
4. Mr. Resky Wiwoho
5. Ms. Royyan Hadela Isnanda
6. Mr. Sandi Nursahamdani

GIZ:

1. Ms. Birthe Meyer
2. Mr. Bernardo R. Agawin, Jr
3. Ms. Dayana Lady
4. Ms. Diella Dachlan
5. Mr. Joerg Hager
6. Mr. Johannes Kummerow
7. Ms. Nurul Imany
8. Ms. Wiwin Wijayanti

Rapporteur:

1. Mr. M.Batu B.Simanjuntak
2. Ms. Yulia Absari

Photographer:

Mr.Dwi Chandra Oktaviawan

SPEECHES



**WELCOMING SPEECH
CHAIRMAN OF THE AUDIT BOARD OF THE REPUBLIC OF INDONESIA
OPENING CEREMONY
ASEAN – ASEANSAI – AIPA SEMINAR
LAYING THE FOUNDATION FOR FUTURE COOPERATION IN
PROMOTING ACCOUNTABILITY AND TRANSPARENCY IN ASEAN

SHERATON GRAND JAKARTA GANDARIA CITY HOTEL
TUESDAY, 26 FEBRUARY 2018**

His Excellency, Mr. Jusuf Kalla, Vice-President of the Republic of Indonesia;

His Excellency, Dr. AKP Mochtan, Deputy Secretary-General of ASEAN;

Her Excellency, Mdm Dr. Viengthong Siphandone, Chairperson of ASEANSAI;

His Excellency, Mr. Isra Sunthornvut, Secretary General of AIPA;

His Excellency, Mr. Munir Arbison, Deputy Speaker of the Philippines House of Representatives;

His Excellency, Dr. H. AM Fachir, Deputy Minister of Foreign Affairs of Indonesia;

His Excellency, Dr. Peter Schoof, Ambassador of the Federal Republic of Germany to Indonesia, ASEAN, and Timor-Leste;

Excellencies, Heads of SAIs of the ASEANSAI members;

Excellencies, representative Members of Parliament of ASEAN;

Respected colleagues from ASEAN, ASEANSAI, AIPA, GIZ, and other stakeholders.

Ladies and Gentlemen,

Assalamu'alaikum warahmatullahi wabarakatuh

Good morning

It is significantly rewarding to witness and be part of this joint seminar for Laying the Foundation for Future Cooperation in Promoting Accountability and Transparency, joining together here, today the Association of South East Asian Nations, the ASEAN Inter-Parliamentary Assembly, and the ASEAN Supreme Audit Institutions. This building of collaboration for transparency and accountability, both nationally and internationally, will serve as an important milestone for future cooperation and for sustainability.

On this special occasion, we will be presented by opening remarks of His Excellency the Vice-President of the Republic of Indonesia, Mr. Jusuf Kalla. The Vice-President's long-standing commitment to engagement and his contributions to uphold the ASEAN principles of solidarity, understanding, and cooperation have strengthened regional cooperation and guide us towards even greater prosperity.

Distinguished Ladies and Gentlemen,

The many pillars of ASEAN's vision will open opportunities for enhanced trade and investment, including through e-based platforms, as well as creating broader social and international interactions based on good governance. There is nevertheless, the need to ensure that all of this meets the on-going expectations of stakeholders, especially in the age of rapid information exchange.

The institutions represented here today have a critical role to reach the strategic objectives of ASEAN Economic Community 2025, the ASEAN Security Community and the ASEAN Socio-Cultural Community. Together these objectives promote a more responsive ASEAN, while the powerful tool of digital technology can create strengthened governance through greater transparency in service of the people of ASEAN.

Both in the public sector and private sector, improved transparency and synergies among government policies and business actions will help stimulate

expanded industry and opportunities across the ASEAN region, and help achieve the 2030 Sustainable Development Goals.

Excellencies, Ladies and Gentlemen,

An independent, effective and credible Supreme Audit Institution is an essential component in a democratic system. The Audit Board of the Republic of Indonesia, individually and as part of ASEANSI, understands that accountability, transparency and integrity are indispensable parts of a stable democracy and seeks to engage partners domestically and internationally to promote auditing as an important factor in making a difference to the lives of citizens.

In looking to the future, the activities of the Audit Board of the Republic of Indonesia, as with the activities of ASEAN and AIPA, will contribute a driving force for meeting national objectives as well as ASEAN objectives. The links that we share among our institutions and countries are far more extensive than any differences, and inter-connecting these links, and ensuring that these connections are well functioning, will continue to support our peaceful, just and inclusive societies.

Distinguished Ladies and Gentlemen,

Thank you for your attention and now I would like to invite His Excellency, the Vice-President of the Republic of Indonesia, Mr. Jusuf Kalla to give a speech and to officially open the ASEAN-ASEANSI-AIPA Seminar.

Thank you and Wassalamu'alaikum warrohmatullahi wabbarakatuh.

Jakarta, 26 February 2019

Prof. Dr. Moermahadi Soerdja Djanegara, CA, CPA

Chairman of the Audit Board of the Republic of Indonesia



SPECIAL REMARKS

H.E. Dr. Viengthong Siphandone
President, State Audit Organization of Lao PDR
Chairperson of ASEANSAI
at
ASEAN – ASEANSAI – AIPA SEMINAR
Laying the Foundation for Future Cooperation in
Promoting Accountability and Transparency in ASEAN

SHERATON Gandaria Jakarta, Indonesia, Tuesday, 26 February 2018

Excellencies, Heads of SAIs of the ASEANSAI members;

Excellencies, President of AIPA and representative Members of Parliament of ASEAN;

Excellencies, Secretary General of ASEAN

Respected colleagues from ASEAN, ASEANSAI and AIPA,

Ladies and Gentlemen,

Good morning

It is an honour for me to attend the first seminar jointly organized by ASEAN, ASEANSAI and AIPA. On behalf of ASEANSAI's Chair, I do appreciate this initiative and believe that it will serve as a foundation for future inter-agency collaboration, through networking, exchange and interaction among the three association.

Excellencies, Ladies and Gentlemen,

ASEANSAI as the association of 10 supreme audit institutions (SAI) in ASEAN member states is established in 2011 to promote capacity building in public sector auditing of its member. With the support from our committees, which are Training Committee, Knowledge Sharing Committee, Strategic Plan Committee and Rule and Procedures Committee, we run our organization base on the Establishment Agreement and the Rule and Procedure, we have conducted various capacity building programs in public sector auditing for our members. In turn, those programs will lead ASEANSAI to contribute at the improvement of transparency and accountability in the Southeast asian region.

One of ASEANSAI capacity building programs is called the Long Term ASEANSAI Program on ISSAI Implementation, known as LTAPII. Started in 2015 and accomplished in July 2018, In the LTAPII programme, the auditors were not only equipped with the knowledge on ISSAI but also the skills in facilitating. So, they will have capacity to transfer their knowledge on ISSAI to their colleagues at respective SAIs and at the ASEAN region. On the other hands, We also conducted various trainings and workshops to support the improvement of our auditors' capacity, namely Quality Assurance Training, Workshop on Audit of Land and Mineral Resources, workshop on Audit of Public Complaint, sharing knowledge on establishing awareness on good practices about SAI Independence and Workshop on Audit of Public Procurement and seminar on increasing awareness of SDGs.

Moreover, Supreme Audit Institution among ASEAN member states have been contributed in audit financial statement of ASEAN Secretariat every year.

Excellencies, Ladies and Gentlemen,

ASEANSAI has strategic plan 2018-2021 and has one strategic goal to strengthen its active role within ASEAN agenda and to improve the cooperation with regional and international partners. This seminar provides an opportunity for ASEANSAI to involve at the ASEAN agenda while establishing ties with ASEAN and AIPA.

Supreme Audit Institution has prominent role to promote the efficiency, accountability, effectiveness and transparency of public administration. With the support from Parliament and government as SAI's main stakeholders, SAI may and can perform its role more efficient and effective.

Taking the opportunity of this Seminar, on behalf of ASEANSAI, I assure our commitment to build future cooperation with ASEAN and AIPA, to promote transparency and accountability in the southeast asian region.

Thank you

ASEAN-ASEANSAI-AIPA JOINT SEMINAR
“LAYING THE FOUNDATION FOR FUTURE COOPERATION IN PROMOTING
ACCOUNTABILITY AND TRANSPARENCY IN ASEAN”
Special Remarks by Deputy Secretary-General of ASEAN, Dr AKP Mochtan
26 FEBRUARY 2019

H.E. Prof Dr Moermahadi Soerja Djanegara, Chair of BPK

H.E. Madam Dr Viengthong Siphandone, ASEANSAI Chair

Hon Mr Bahtiar Arif, Head of ASEANSAI Secretariat

Hon. Mr Isra Sunthornvut, Secretary-General of AIPA

Ambassador of Federal Republic of Germany to Indonesia, ASEAN, & Timor Leste
Excellencies, Distinguished Guests, Ladies and gentlemen,

Good morning!

I would like to start by commending the timely convening of this important seminar on the promoting of accountability and transparency and strengthening of governance, all of which I trust will contribute greatly to the advancement of the ASEAN Community as a whole.

It is also indeed my great honor to give this Special Remarks and to share my perspective on the topic.

Governments and public institutions usually use regulations to achieve important outcomes. Evidence suggests that good regulation correlates highly with strong growth. This is possible because of the impact of good regulations in reducing transaction costs and opening up the markets for more competition. At the same time, it is critical that the regulations are sustained over time to ensure durable impacts, including the promotion of a well-functioning regulatory governance system that is effective, efficient, transparent and accessible. This governance system encompasses not only the development of regulatory policy instruments and tools, but also the right institutions through which regulations are made, developed and implemented.

What do I mean by the “right institutions”? Well, in ASEAN, the parliamentarians established AIPA to build connections beyond the government level

and to promote policies that benefit the people in ASEAN. This Parliamentary dimension is critical as it provides broader legitimacy to the ASEAN Community building. At the same time, each ASEAN Member State's supreme audit institutions (SAIs) play prominent roles to promote good governance and transparency in the public sector by ensuring the sound implementation of approved national budget, which is crucial in confidence-building processes for our citizens. The 10 national SAIs also established the ASEANSAI as a regional network-platform for knowledge-sharing.

This leads me to my next question. What then is the main challenge for ASEAN in terms of promoting better governance? Personally, I think one issue is the identification of an appropriate regional mechanism and measure for all member states and all ASEAN agencies to adopt and implement, in the name of "good governance". This is so given that varied stakeholders have diverse perspectives and different tolerance levels for corruption, bribery, accountability and transparency.

In that case, could ASEAN Secretariat, given our role and function as the nerve-centre of the ASEAN Community building process, be a self-appointed "role-model in promoting "good governance", and be a learning example to our fellow ASEAN agencies? In the ASEAN Secretariat, we do have the twin manuals of the ASEAN Secretariat Staff Regulations (ASSR) and the ASEAN Financial and Administration Regulatory Procedures (AFARP). Both sets of rules do carry provisions catering to code-of-conduct, conflict of interests, and whistle-blowing. This is to generally encourage staff to adhere to the importance of accountability and responsibility.

Excellencies, Distinguished Guests, Ladies and gentlemen,

While I do not have an immediate answer to my questions, I certainly do look forward to a fruitful discussion at the Seminar, especially when it comes to identifying future opportunities for collaboration in the area of accountability, transparency and governance between the 3 agencies.

I wish the Seminar a great success. Terima kasih! Thank you!

SPEAKERS PROFILE



Ambassador Peter Schoof is a graduate of Freiburg University where he obtained a doctorate degree in History and Political Science. He began his career as an international civil servant working for the Office of the United Nations High Commissioner for Refugees (UNHCR), for whom he worked in Geneva/Suisse and in Islamabad/Pakistan from 1983 to 1988. In 1988 he joined the Foreign Service of Germany and has since held positions in German Embassies and Missions in Damascus, Geneva as well as, twice, at the Permanent Representation of Germany to the European Union in Brussels. From 2011-2013 he served as Deputy Director for European Affairs at the German Foreign Office. In 2014 he was appointed as Ambassador to Greece. In September 2018 he assumed his current position as German Ambassador to the Republic of Indonesia.



Bahtiar Arif, M.Fin.
Secretary General
Audit Board of the Republic of Indonesia

Place/Date of Birth : Cepu, May 5, 1970
E-mail : bahtiar.arif@bpk.go.id

EDUCATION PROFILE

- Master in Development Finance, University of Manchester, UK, 2000
- Bachelor in Accounting, University of Indonesia, Jakarta, 1996

CAREER PROFILE

Audit Board of Republic Indonesia

- Secretary General, since March 2018
- Principal Auditor II, September 2016 – March 2018
- Principal Director of Planning, Evaluation, R&D and Training, March 2014 – September 2016
- Head of Public Relations and International Relations Bureau, September 2010 – March 2014



LEE YOONG YOONG
DIRECTOR OF COMMUNITY AFFAIRS DIRECTORATE
COMMUNITY AND CORPORATE DEPARTMENT
ASEAN SECRETARIAT

LEE Yoong Yoong is the Director for Community Affairs Directorate in the ASEAN Secretariat, based in Jakarta, Indonesia. He manages the daily operation of the Community Relations Division and Information Resources Management Division. He has almost 2 decades of work experience in international relations, public policy and economic development. Prior to his current position in the ASEAN Secretariat, Yoong was Head for Executive Support in the Office of the Secretary-General (OSG) where he provided the day-to-day technical and administrative support to the SG of ASEAN.

Yoong started his career with the Singapore government at the Economic Development Board (EDB) where he undertook investment promotion into Singapore. He also had a stint with the Singapore's Ministry of Home Affairs (MHA), managing the media and corporate relations, and community outreach. Yoong also spent 4 years with the Institute of Policy Studies (IPS) of Singapore, an outfit within the Lee Kuan Yew School of Public Policy, handling public policy research and analysis for the Economic and Business cluster.

Besides the ASEAN Secretariat, his international experience included a 1-year appointment at the United Nations Economic and Social Commission for Asia and the Pacific (UNESCAP), based in Bangkok, Thailand, in 2011.

Yoong holds a Master of Science in International Relations from the Institute of Defence and Strategic Studies (now known as the S. Rajaratnam School of International Studies) in the Nanyang Technological University (NTU) in Singapore.



Hon. Mr. Isra Sunthornvut

AIPA Secretary General 2016-2019

Hon. Mr. Isra Sunthornvut was appointed as Secretary-General of AIPA at the 37th AIPA General Assembly in 2016 in Nay Pyi Taw, Myanmar.

He was first elected as a Member of Parliament (MP) for Bangkok under the Democrat Party in 2001 and has been involved in Thai politics in various capacities since, such as; Spokesman and Advisor to the Bangkok Governor, Secretary to the Opposition Leader of the House of Representatives (2 terms), Deputy Secretary General to the Prime Minister (Abhisit Vejjajiva), Vice Minister Attached to the Prime Minister's Office (attached to the Prime Minister), and Party List MP.

From 2001 to present, Hon. Mr. Sunthornvut has been a columnist for Thai Post and has contributed numerous articles for numerous publications. He holds a Masters of Arts in European Studies from Chulalongkorn University. Hon. Mr. Sunthornvut has been bestowed the Most Exalted Order of the White Elephant Royal Decoration since 2013. He is married with two children.



PROFILE

Dr. Sivaraksa is currently the Deputy Secretary General of the Office of the Civil Service Commission or OCSC, a government central agency responsible for advising the government and departments on civil service human resource management policy. His responsibility covers the areas of position classification management, promotion system, and civil service HR reform. He is also the OCSC team leader in preparing scope and agenda for 20th ASEAN Cooperation on Civil Service Matters (ACCSM) as OCSC will assume the role of ACCSM chairmanship from 2018 to 2020.

EMAIL:

piyawat@ocsc.go.th

HOBBIES

Music
Reading
Jogging
Travel

PIYAWAT SIVARAKS PH.D.

Deputy Secretary-General

EDUCATION

Chulalongkorn University, Thailand

BA Economics

Claremont Graduate School, USA

MA Public Policy (under Royal Thai government scholarship)

Ph.D. Public Policy (under Royal Thai government scholarship)

WORK EXPERIENCE

July – October 2018

Committee, National Legislative Assembly, Ad hoc Committee on Laws on the Court of Justice and Administrative Court

Responsibility: Consider the compensation system of Judges and Administrative Court judges

2014-2016

Senior Advisor, Office of the Civil Service Commission (OCSC)

Responsibility: Advising HR policy to Ministerial Civil Service Sub-commission in various ministries

2011-2014

Minister for Education, Office of Educational Affairs, London, under the OCSC

Responsibility: Advising Thai government scholarship students in the UK and Europe

2009-2011

Director, Civil Service Training Institute, OCSC

Responsibility: managing HR development policy of the civil service

2006-2008

Director, Senior Executive Service Center, OCSC

Responsibility: Managing government scholarship, senior executive system, and talent management programs

AWARD

Prime Minister Award: Outstanding Civil Service Official 2001



H.E. DR. FADLI ZON

Indonesia

Chair of Global Organization of Parliamentarians against Corruption (GOPAC), and Vice Speaker of the Indonesian House of Representatives (Coordinator of Political, Law and Security Affairs)

Dr. Fadli Zon joined the GOPAC Board of Directors in 2015. He was elected as the Deputy Speaker of Indonesian House of Representatives since 2014. Dr. Zon obtained his Bachelor's Degree in Russian Study from the Faculty of Humanity, University of Indonesia; Master's Degree in Development Studies from the London School of Economics and Political Science (LSE); and Doctoral Degree from the University of Indonesia.

Besides his activities in the parliament, Dr. Zon is also the Vice-Chair of the Great Indonesia Movement Party (GERINDRA) for 2008-2020 period, Chair of the Indonesian Farmers Association (HKTI) for 2015-2020 period, and the Editorial Board of Horison (Literature Magazine) since 1993. Dr. Zon was a member of the People Consultative Assembly of the Republic of Indonesia (1997-1999), Lecturer at the University of Indonesia, and Chairman of the Faculty of Humanity, University of Indonesia's Alumni Association. He also established library and cultural center in several cities; such as Fadli Zon Library in Jakarta, Fadli Zon Cultural House in West Sumatera, as well as Fadli Zon Creative House and Sundanese Cultural Village in West Java.

Dr. Zon has also authored several books, including *Etno-Nationalist Movement: the Collapse of Soviet Union Empire* (2002); *The IMF Game: The Role of the IMF in Bringing down the Soeharto Regime* (2004); *The Politics of Indonesian May 1998 Riots* (2004); *The Last Day of Kartosoewirjo - 81 Pictures of the DI/TII Islamic Priest* (2012); *Food and Agriculture in the Neoliberal Era* (2016); *Mohammad Hatta's Thought on People's Economy: the Road to Indonesian Politics of Prosperity* (2016); as well as several anthologies, journals and numerous articles in national mass media.



fadlizon.com
fadlizonlibrary.com
gopacnetwork.org



contact@fadlizon.com
contact@fadlizonlibrary.com
info@gopacnetwork.org



[/FadliZonPage](https://www.facebook.com/FadliZonPage)
[/gopacnetwork](https://www.facebook.com/gopacnetwork)



[@Fadlizon](https://twitter.com/Fadlizon)
[@GOPAC_Eng](https://twitter.com/GOPAC_Eng)



Commission on Audit (COA) **Chairperson Michael G. Aguinaldo** was appointed to head the Supreme Audit Institution (SAI) on March 24, 2015.

Chairperson Aguinaldo is a lawyer with extensive legal, governance and administration expertise acquired from 26 years of professional work as private law practitioner, government official and professor.

As the head of the Philippine SAI, Chairperson Aguinaldo leads the Commission on a seven-year Strategic Plan that centers on six Strategic Goals that will help improve various facets of the organization in order to create positive impact in governance and society; empower and enable government agencies to perform their mandates more efficiently and effectively; deliver high quality, fair and timely audits; increase stakeholder ownership and understanding; strengthen capacity and capability; and enhance support infrastructure.

As Chairman of the Commission, he is currently the External Auditor of the World Health Organization, the Food and Agriculture Organization, the International Labour Organization of the United Nations, and the United Nations Industrial Development Organization.

Moreover, he serves as the Chair of the INTOSAI Working Group on Public Debt and the ASEANSAI Training Committee.

Prior to his COA appointment, Chairperson Aguinaldo was the Deputy Executive Secretary for Legal Affairs of the Office of the President. He also headed the Investigation and Adjudication Office (formerly the Presidential Anti-Graft Commission) and oversaw the implementation of integrity initiatives consistent with the United Nations Convention Against Corruption.

Chairperson Aguinaldo obtained his law degree from the Ateneo in 1992. He also holds a Special Degree in International Economic Law obtained from the University of Michigan in Ann Arbor, Michigan in 1997. He placed 7th in the 1992 Philippine Bar Examinations.

CURRICULUM VITAE

1. Vital Info

- a. Name : Haji Roslan bin Abu Bakar A.M.N.
- b. Nationality : Malaysian
- c. Passport no. : 14996066
- d. NRIC : 641031-07-5305
- e. Date of Birth : 31st. October 1964
- f. Height/ Weight : 175 cm/ 85 kg
- g. Present Position : Director
National Audit Department of
Malaysia, State Audit Office of
Selangor
- h. Grade : JUSA C (Management and
Professional)
- i. Nature of job : Management, administrator, and
auditing



2. Contacts

- a. Office Address : National Audit Department, State of Selangor, Wisma Audit Selangor,
Persiaran Perbandaran, Seksyen 14, 40000, Shah Alam , Malaysia
- b. Residence Add. : No 18 Jalan Dahlia 1/8 Taman Dahlia, 43900 Bandar Baru Salak
Tinggi Selangor, Malaysia.
- c. Telephone : +60355147403 (Direct Line)
- d. Hand Phone : +60192110378
- e. Fax : +603-55147405
- f. E-mail : roslanab@audit.gov.my
roslanabubakar@yahoo.com
roslan64@gmail.com
- g. Web : ptk4W48@yahoogroups.com
gaofellows@yahoogroups.com
- h. Facebook : Roslan Abu Bakar
- i. Twitter : @roslanaudit

3. Education/Qualification/Award

- a. **Primary Education** – 1971 – 1976
 - i) St. Mark's Primary School Perai, Pulau Pinang, Malaysia
- b. **Secondary Education** – 1977 – 1981
 - i) Bukit Mertajam High School, Pulau Pinang
 - ii) Raja Abdullah Secondary School, Kuala Lumpur
 - iii) Methodist Boys School, Kuala Lumpur
- c. **Bachelor of Science in Business** –1982-1986- Emporia State University Kansas, USA
- d. **Masters in Business Administration** -1987-1989– Emporia State University Kansas, USA
- e. **IDI certified Training Specialist**- Diploma in Adult Training Specialist - INTOSAI Development Initiative (IDI), Ottawa, Canada – 1998
- f. **Excellent Service Award** - National Audit Department of Malaysia -2006.
- g. **Class of 2007 International Auditor Fellowship Program** of United States of America, Government Accountability Office (GAO). April-September 2007.
- h. **Promoted to GradeW48**- October 2002
- i. **Promoted to grade W52**- August 2008.
- j. **Promoted to Grade W54** – July 2010
- k. **Promoted to Grade JUSA C**- 15 March 2018
- l. **Honored with A.M.N. Medal** from DYMM Seri Paduka Baginda Yang Di Pertuan Agong the King of Malaysia on 24 Oct 2010
- m. **Excellent Service Award** - National Audit Department of Malaysia -2011- 29th February 2012.

- n. **Member of Islamic Committee on *Toyyib*** for the State of Selangor Mei 2012.
- o. **Certified Audit Instructor** since 2010.
- p. **Certified Fraud Examiner (CFE)** since March 2016.

4. Working Experience

- a. Supply Executive – Cycle & Carriage Bintang Bhd. – Responsible for procurement of Mercedes Benz and Mazda CKD and replacement parts for assembly line production- Petaling Jaya -1990 – 1991
- b. National Audit Department – 1991 – present
 - i) Auditor – Customs & Excise Department – Compliance and Financial Audit
 - ii) Auditor – Ministry of Defense – Performance Audit
 - iii) Auditor – Malacca State Audit Branch – Performance Audit
 - iv) Malaysian Embassy Audit at Tokyo, Vancouver and Ottawa – 1995
 - v) Auditor/Trainer – Training & Information Department of the National Audit Department. Organizing, delivering and performing evaluation on training needs.
 - vi) Chief Auditor – Managing 8 officers for Internal Audit Division of the Ministry of International Trade & Industry – Financial and Performance Audit
 - vii) Deputy Director – National Audit Academy – Academic for Schools of Auditing, Accounting, ICT and Management
 - viii) Auditing Malaysian International Trade and Industries Division in **Moscow, Russia** – 2001
 - ix) Bi-lateral negotiation between National Audit Department and Cambodian Audit Office in **Phnom Penh** - 2006
 - x) Auditing Malaysian Embassy in **Jakarta, Indonesia** – 2007
 - xi) Auditing Malaysian Embassy Audit in **Washington D.C.**-2007
 - xii) Leading discussion on Multilateral Agreement on Joint Audit and Cooperation between Malaysia, Indonesia, Thailand and Brunei Darussalam- **Jakarta**- 2007
 - xiii) Performing Internal Audit on MS ISO 9001:2000 for State Audit of Perlis, Kedah and Sabah on support services 1/07/08-8/7/08.
 - xiv) **Director**- National Audit Department, Negeri Sembilan State effective 1st August 2008.
 - xv) **Deputy Director**- National Audit Department, Selangor State effective 1st July 2010.
 - xvi) **Director**- National Audit Department, Terengganu State effective 4th March 2013
 - xvii) **Director**- Research, Corporate and International Relation Division effective 15th October 2016
 - xviii) **Director**- National Audit Department, Selangor State effective 15 March 2018

5. Seminars & Courses Attended

- a. Introductory Course for Auditors (Fraser's Hill) - 1991
- b. Audit Command Language Course (Kuala Lumpur) - 1991
- c. National Patriotic Course (Besut, Terengganu) - 1992
- d. Fraud Investigation & Detection Course (Port Dickson) -1992
- e. Construction Techniques Audit (Malacca)-1993
- f. Practical Auditing Course (Langkawi) - 1993
- g. Performance Measurement & Evaluation Course (Kuala Lumpur) -1994
- h. Investment Course (Kuala Lumpur) -1994
- i. Management Skills Course (Kuala Lumpur) -1995
- j. Course Design & Development Course (**Bangkok**) – November-December 1998
- k. Participating Instructors Training Course (Kuala Lumpur) - April 1998
- l. Performance Auditing Course (Kuala Lumpur) -2000
- m. Participating Training Specialist Seminar (**Oslo, Norway**) -2003
- n. Environmental Auditing Seminar (**Oslo, Norway**) – 3rd -11th June 2003

- o. ASOSAI Training Specialist Seminar (**Bangkok**) - 2004
- p. ISO Auditor Training Program (Kuala Lumpur) -2004
- q. IDI-E-Learning Laboratory (Kuala Lumpur) – 28 Nov. – 9 Dec. 2005
- r. Performance Audit Course (**Hanoi**) – 2006 - Trainer
- s. Negotiation Techniques and Skills – (Kuala Lumpur) - 2006
- t. US GAO International Fellowship Program **Washington D.C.**– 20 Apr.- 7 Sept. 2007
- u. US GAO Forensic Auditing and Special Investigation Unit **Washington D.C.** – Attachment Program – 10 – 21 September 2007
- v. Leadership and Organization Management Course –Port Dickson- 9-15 December 2007
- w. Attended as participant and acted as chairperson for discussion forum for “Water Management Seminar” in Shah Alam, Selangor 9 and 10 November 2009.
- x. Attended 1 week course on “Risk Based Operational Auditing” in **Hong Kong** in November 2010.
- y. Attended 3 days course on “Management of Staff Disciplinary Cases” in Pearl International Hotel Petaling Jaya, February 2011.
- z. Attended workshop on “Prospek” for New Remuneration Scheme at **Genting Highland Resort** 14th -17th Feb 2012.
- aa. Attended ASEANSAI meeting in **Kota Kinabalu Sabah** as training committee member for Malaysia 8th -11th February 2012.
- bb. Attended ASOSAI Assembly in **Jaipur, India** 28th February – 4th March 2012 as Malaysian Delegate.
- cc. Attended Workshop on Human Resources Management Strategic Plan For National Audit Department on 15th and 16th Mei 2012 at Residence Hotel UNITEN Putrajaya.
- dd. Attended workshop for the development of Audit Memorandum on “Audit of the Management of Tithe (Zakat)” at Penang on 27-30 June 2012.

6. Seminars & Courses Contributed as International Trainer

- a. Designer in Performance Audit Course Design & Development for ASOSAI (Kota Kinabalu) – 2000.
- b. Trainer for Performance Audit Course for Malaysian Auditors – (Malacca)- 2000
- c. Designer for Performance Audit Course Design & Development for ASOSAI (**Tokyo, Japan**) – 2002.
- d. Trainer for **ASOSAI** Performance Audit Course on Expenditure (**Bangkok, Thailand**) 2003
- e. Trainer **ASOSAI** Performance Audit Course on Revenue (Langkawi) -2004
- f. Designer in **INTOSAI** Environmental Audit Course Design & Development (Kuala Lumpur) – 2005.
- g. Trainer in **INTOSAI’s** Environmental Audit Course (**Antalya, Turkey**) – 2005
- h. Trainer for Performance Audit Course representing National Audit Department (**Hanoi, Vietnam**) – 2006 .
- i. Trainer for ASOSAI Workshop on Performances Audit (**Bangkok, Thailand**) -12–28 Feb. 2007
- j. Trainer for Malaysian Technical Cooperation Program **MTCP** - IT Audit Course- Organization and Management Controls- 21 November 2007
- k. Briefing to Audit Bureau of Jordan on Performance Audit conducted by the National Audit Department- 27 December 2007
- l. Trainer for **MTCP** training program on Performance Audit at NAA 16/6/08 -27/6/08.
- m. Trainer in Quality Assurance on Financial Audit course for ASOSAI in **Ulaan Bataar Mongolia** – 1/8/08-20/8/08.
- n. Participating in Quality Assurance Review meeting and finalization of Quality Assurance Handbook for ASOSAI- **Jakarta, Indonesia** – 21/11/08-4/12/08.
- o. Delivered keynote speech and conducted workshop on Performance Audit at Seminar in **Tehran Iran** for Supreme Audit Court of Iran – 4th and 5th -October 2009.
- p. Delivered lectures for IT Audit Course for MTCP at National Audit Academy– 6 November 2009.
- q. Facilitated training program for JICA on Good Governance in **Tokyo Japan** 2010.
- r. Delivered talk on “Auditing Guidelines and Audit Issues of Land Administration” for the Assistant Directors of the Land Office of the State of Selangor in Quality Hotel KL - March 2011.

- s. Led facilitation for Audit Review meeting for IDI-WGEA Audit of Forestry in **Arusha Tanzania**- 4-16 October 2011.
- t. Delivered lecture on Writing Audit Report based on ISSAI 400 to Delegates from **SAI of Afghanistan** at National Audit Academy 17th February 2012.
- u. Delivered talk on Audit Issues for **State Owned Companies** for Malaysian Institute of Integrity at Penang on 21st June 2012.
- v. Delivered talk on Audit Issues on the **Selangor Local Authorities** for Malaysian Institute of Integrity at Klang Municipal Hall on 9th July 2012.
- w. Delivered talk on Public Works Audit (Risks, Materiality, Audit Samples and Evidence) based on ISSAI 3000 to Delegates from **SAI of Vietnam** at Putrajaya on 11th July 2012.

7. Major Designs & Developments

- a. Analytical Review for Financial Audit for Course Design & Development Course in Bangkok Thailand- 1998
- b. Performance Audit Course Design and Development - 2000
 - i. Session 1.5 (Selecting Audit Topics)
 - ii. Session 4.0 (Writing Audit Report)
- c. Revision & Redesign Sessions on Performance Audit of Revenue Agencies - 2004
- d. Designing and developing course material on Biological Diversity Session in Environmental Audit Course -2004
- e. Involvement in designing Integrated Campus Management System (ICMS) for National Audit Academy-2005
- f. Malaysian Technical Cooperation Program Strategy Paper for National Audit Department to undertake training for Performance Audit and IT Audit courses- 2006.
- g. Accountability Index Auditing Manual for National Audit Department
- h. Strategy Paper for Selecting Performance Audit Topics under US GAO International Fellowship Program- 2007.
- i. Strategy Paper for Forensic Audit Unit for National Audit Department -2007
- j. Course Design and Development For Quality Assurance -Vientiane Lao- 1-19 March 2008
- k. Co designing "Quality Assurance in Financial Audit Handbook" for ASOSAI with coordination and cooperation with INTOSAI Development Initiatives IDI, November 2008.
- l. Write up for the Auditor General of Malaysia: "Dealing with Fraud in Public Sector"- 11 April 2008
- m. Write up for the Auditor General of Malaysia: "Governance in the Public Sector"- July 2009.
- n. Prepared write-up on "Writing Concise and Effective Audit Report" for the State Audit Seminar in November 2009.
- o. Chairman for the review committee on surprise audit inspection manual for National Audit Department-2011.
- p. Prepared write-up for Deputy Auditor General (States) on "Moderator's Notes and script for WGEA Group presentation" in Penang on September 2012.
- q. Prepared write-up for Deputy Auditor General (States) on "Public and Private Sector Branding, Are we well branded compared to world comparable?" on 23rd October 2012.
- r. Prepared write-up for Deputy Auditor General (States) on "Personality Brand" on 14th October 2013.

8. Specialty & Skills

- a. Training and Auditing
- b. Performance Auditing
- c. MS ISO Internal Auditing
- d. Course Design & Development for Adult Learning
- e. Quality Assurance
- f. Environmental Audit
- g. Accountability Index
- h. Audit Command Language (ACL),

- i. Microsoft Windows, Words, Excel, Power point
- j. Micro media Professional Flash version 8.
- k. Management, Training Administrations, Planning, Budgeting, and monitoring

9. Language skills

- a. Malay – Excellent both oral and writing
- b. English - Good both oral and writing

10. References

- a. Hon. Tan Sri Dr. Madinah Mohamad- Auditor General of Malaysia
- b. Hon. Tan Sri Dato' Setia Ambrin bin Buang- ex-Auditor General of Malaysia- +60388899002 – ag@audit.gov.my
- c. Hon. Tan Sri Dato' Dr. Hadenan bin Ab. Jalil – ex-Auditor General of Malaysia, Chairman Takaful Malaysia Berhad- +60122152020
- d. Hon. Dato' Mustafa bin Hj. Saman- Deputy Auditor General (States) of Malaysia- 0388899006
- e. Mr. David M. Walker – Comptroller General US Government Accountability Office - +2025287304
- f. Ms. Helen Hsing – Managing Director Strategic Planning and External Liaison US Government Accountability Office- +2025124707 HsingH@gao.gov
- g. Ms Pamela Sands – Program Manager US GAO- +2025125796 Sandsp@gao.gov
- h. Mr. Abd. Wahab Ahmad – Director National Audit Academy - +6067822020 – abwahab@audit.gov.my
- i. Mr. Pritom Phookun – Program Manager of IDI Norway- +5713388949 pritom.phookun@idi.no
- j. Mr. Kiyoshi Okamoto – Senior Manager- Board of Audit Japan – +81-3-3581-3251 liaison@jbaudit.go.jp
- k. Ms. Juska Enyke Meidi Sjam – Section Head, *Badan Pemeriksa Keuangan Republik Indonesia* - +6281511826950 Juska.Sjam@bpk.go.id

11. Other Vital Information

- a. Happily married to Siti Roslina bt. Muhamad
 - i. *MARA Officer at MARACollege Banting, Selangor*
 - ii. Qualification: Bachelor of Science in Mathematics and Masters Degree in Education Management
 - iii. Contact no. +60136012901
 - iv. 14 years experience as Mathematics Teacher at MARA Junior Science College, Jasin Melaka.
- b. Children – 2 boys 4 girls
 - i. Zahra bt. Roslan- Automotive Design Engineer at Proton, Shah Alam.
 - ii. Muhammad Husein bin Roslan- Mechanical Engineer
 - iii. Zainab bt. Roslan- pursuing Master in Building Design – UITM Shah Alam, Selangor.
 - iv. Zakyya bt. Roslan- pursuing Dentistry in Alexandria Egypt.
 - v. Ali Murtaza bin Roslan- Mechanical technician
 - vi. Aliyya Sakinah bt Roslan- pursuing degree in accounting in Cardiff University UK.
- c. Sports – Golfing- Member of the Nilai Spring Golf and Country Club, Formula 1 and Badminton
- d. Hobby- Distance driving and travelling
- e. Social Activities-
 - Honorary Auditor of the Residential Organization of Taman Dahlia Sepang Selangor since 2010 till 2012.
 - Advisor to PERSAKA (Organization of Melaka Origins of Federal Territory of KL and Selangor) since March 2011.

Latest edition: 20th February 2019.

PRESENTATION

ASEAN-ASEANSAI-AIPA Joint Seminar

“Laying the Foundation for Future Cooperation in
Promoting Accountability and Transparency in
Southeast Asia”





What we want to accomplish

- Foster inter-agency collaboration among ASEAN, ASEANSAL, and AIPA
- Enable seminar participants of different levels to get to know each other, exchange and identify future areas of cooperation
- Identify future joint activities among the three organizations to promote transparency and accountability for good governance in the region

In terms of process....

Session 1
Expressing
Collective Action:
Inter-agency
Cooperation

Session 2
Transparency and
Accountability:
Finding a Common
Ground

Session 3
Envisioning the
Future: Defining
Collaborative
Actions

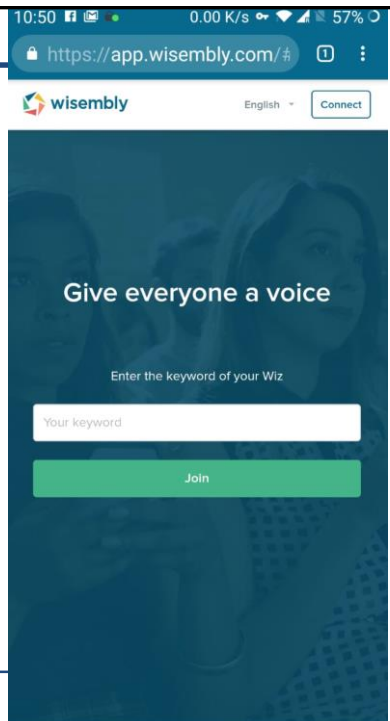




Use of Wisembly

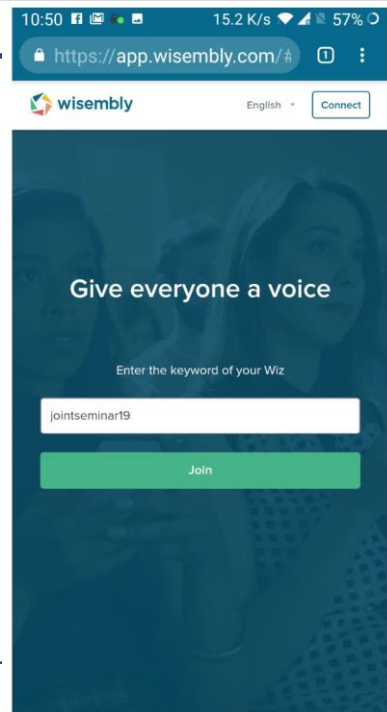
GO to this
URL/website:

URL: <https://app.wisembly.com/jointseminar19>



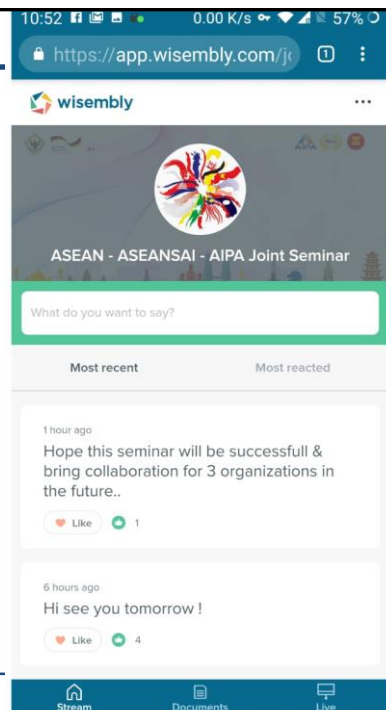
Enter our keyword

Keyword: jointseminar19

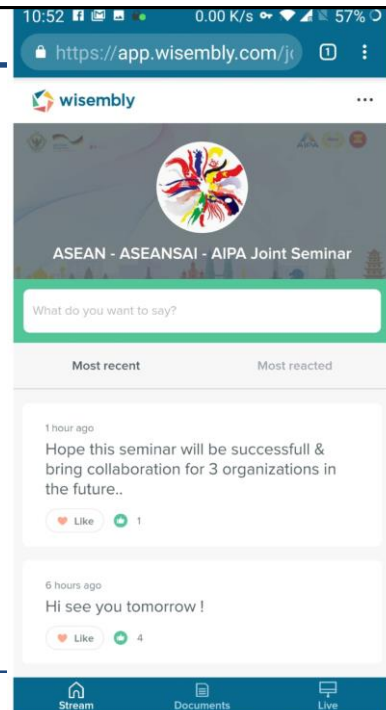


Start using by asking questions...or putting in comments

Or liking somebody else's comments or questions




Answer questions
posted by the
administrator at
WISEMBLY



Any
questions?


Pointer

1. As a result of Focus Group Discussion from 2014 – 2015, ASEANSAI has developed **Guidelines for Public Procurement** which outlines general information about the public procurement audit so that all countries can adopt or use it as guidance. The guideline was drafted base on the study and research project to collaboratively address the issue to overcome the shortcomings and promote best practices in public procurement audit. To develop the **Research Project on Audit of Procurement**, each SAI was requested to prepare a country paper on the practices of public procurement audit to supplement the research to facilitate procurement auditing and to assist the SAIs in conducting an effective audit of public procurement.
The guideline rolled out through series of workshop on audit of procurement in 2016 and 2017, the participants were required to submit action plan and its implementation in their working spaces. Currently, the Guidelines are now updated based on the workshop and the implementation of the action plan.
2. ASEANSAI has develop the **Research Project on Revenue Audit** which aims to enhance the audit capability of ASEANSAI member countries in auditing revenue by exchanging and sharing of knowledge and current practices; and developing a general guideline on revenue audit. In parallel, a **Guideline on Audit of Revenue** was also developed to outlines a definition of public revenue and revenue audits. Whereas the details of revenue audit are documented and are in compliance with ISSAIs. All ASEAN countries can adopt or use it as guidance. The guideline is intended to help auditors understand the process of auditing public revenue. Therefore, auditors can audit public revenue as part of the financial and compliance audit or even evaluates the efficiency and effectiveness of Revenue Collection Agencies and to investigate fraud and corruption.
3. **ASEANSAI Long Term Project on Implementing ISSAI (LTAPII)**, from 2015 – 2018, using the IDI certified ISSAI Facilitator to develop the organizational and audit capacity of ASEANSAI members in implementing the ISSAIs at the SAI and ASEANSAI levels. With the support from German Government and Workd Bank, ASEANSAI is now having 18 (eighteen) Graduates of LTAPII and manage to establish the Pool of ASEANSAI-ISSAI Facilitator whose eagerly and willingly support the dissemination of ISSAI in ASEAN region.
4. In addition, to ensure **sustainability of the LTAPII graduates** and to support the advocacy of the ISSAI Implementation, there is a need to encourage the implementation through the cooperation with IDI and Swedish National Audit Offie. The cooperation covers the support to implement **cooperative financial audit project** and continue in **other field of audit**; such as the Performance Audit and Compliance Audit by using the current perspective, like SDG Approaches. The programme started from 2018 until 2019.



ASEAN
THAILAND 2019
ADVANCING PARTNERSHIP
FOR SUSTAINABILITY

**What is a
civil servant?**





ASEAN
THAILAND 2019
ADVANCING PARTNERSHIP
FOR SUSTAINABILITY

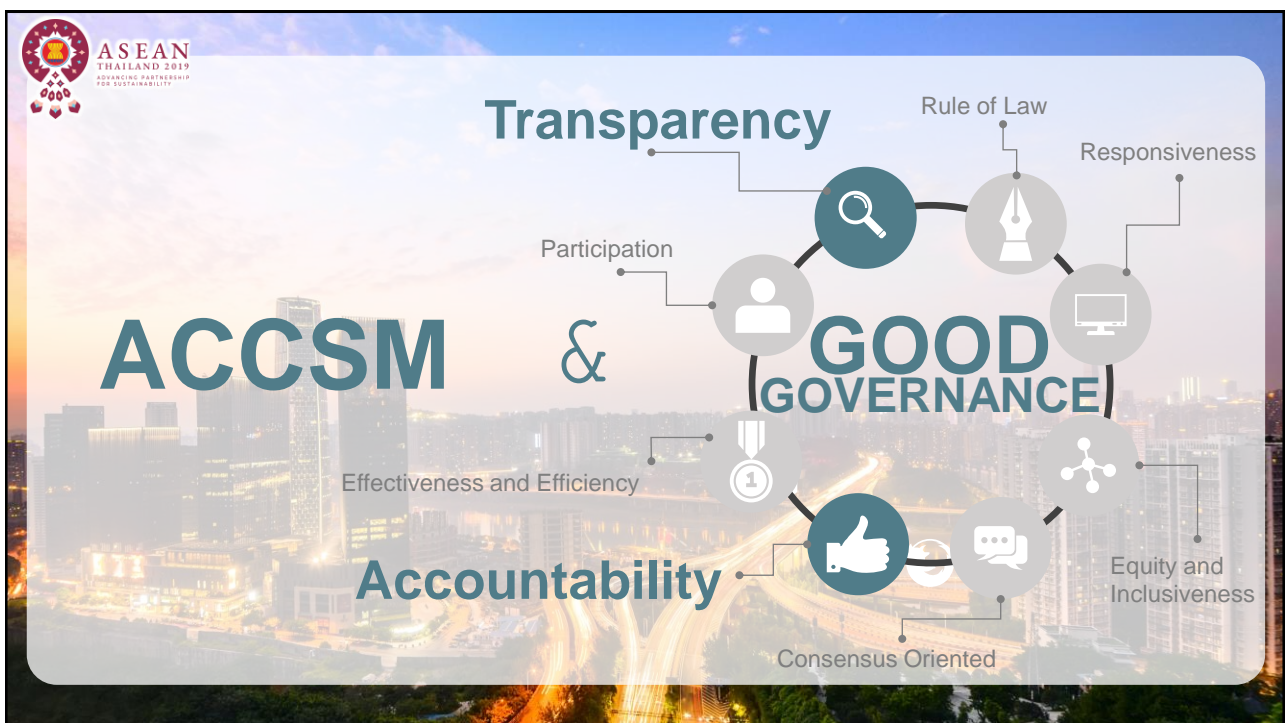
1981 ● ASEAN Conference on Reform in Civil Service (ACRCS)

1989 ● ASEAN Conference on Civil Service Matters (ACCSM)

2015 ● ASEAN **Cooperation** on Civil Service Matters (ACCSM)



ACCSM: Matters that “Matter”



ASEAN THAILAND 2019
ADVANCING PARTNERSHIP FOR SUSTAINABILITY

Driving Factors

- 1999** • 10th ACCSM Theme
- 2010** • Luang Prabang Joint Declaration
- 2017** • ASEAN Declaration on the Role of the Civil Service

ASEAN THAILAND 2019
ADVANCING PARTNERSHIP FOR SUSTAINABILITY

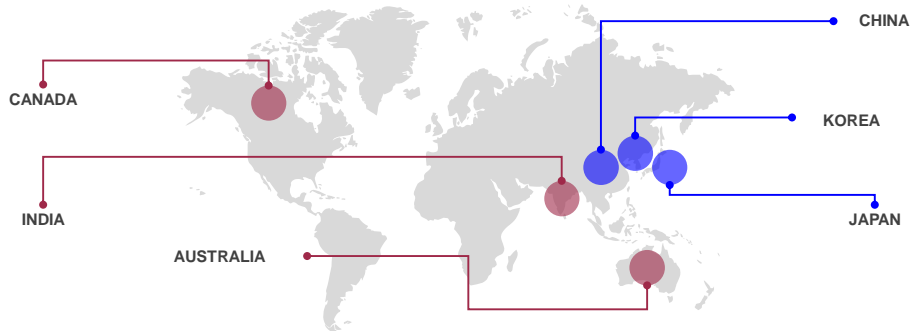
ASEAN COOPERATION ON CIVIL SERVICE MATTERS (ACCSM) WORK PLAN 2016-2020 AND ACCSM+3 WORK PLAN 2016-2020

INTENTION

ACTION



ACCSM ++



Dialogue Partners

Source: <https://www.transparency.org/cpi2018>



Bridging the Rainbow

Sectoral Bodies
Instruments
Partners





ASEAN – ASEANSAT – AIPA JOINT SEMINAR
“Laying the Foundation for Future Cooperation in Promoting Accountability and Transparency in Southeast Asia”

26 February 2019 | Jakarta

Session II

“Transparency and Accountability: Finding a Common Ground”

H.E. Dr. Fadli Zon

Chair, GOPAC

Vice Speaker, the House of Representatives, the Republic of Indonesia

Excellencies Chair of Supreme Audit Institutions,

Distinguished speakers,

Ladies and gentlemen,

A very pleasant afternoon to all of you.

- First of all, on behalf of the *Global Organization of Parliamentarians against Corruption* (GOPAC), allow me to extend my gratitude to this forum.
- I am pleased and honored to be here today. Thank you for having me on this important discussion, and giving me the opportunity to talk about GOPAC's works in fostering transparency and ensuring parliaments' accountability. I hope that our discussion today could be a momentum for Parliamentarians, Supreme Audit Institutions, civil society, and other stakeholders to gather, evaluate, and promote accountability and transparency through an anti-corruption global movement; as well as foster a regional common ground in Southeast Asia.

Distinguished delegates,

- Let me begin by highlighting the importance of transparency and accountability as a precondition for the achievement of good governance. By being open and transparent, it ensures the delivery of public services and helps to sustain economic growth and development. As a part of ASEAN countries, we need to collaborate and promote accountability and transparency by doing active cooperation as well as providing assistance to each other.
- We have to acknowledge that implementing the good governance is not as easy as it seems. The countries face continues threats and challenges in order to implement an accountable and transparent government. Democracy is coming hand-in-hand with a transparent and accountable government. However, as we may know, most of the ASEAN countries are still in the phase of pseudo-democracy. Moreover, the power to influence the countries that are less democratic to improve their transparency and accountability is limited due to the ASEAN values of non-interference.

- In addition, the widespread corruption disrupt governance, destroy trust towards state institutions, and become a barrier in achieving sustainable development. Corruption purges the opportunities to build a better livelihood for the people as it steals away their rights to achieve equality, security, and prosperity.
- We realize that addressing corruption is hardly done alone. Participation from every stakeholder is essential to ensure the success of anti-corruption measures. Through cooperation and collaboration, the problem of corruption can be approached from various perspectives and produced more comprehensive solutions.
- Both the executive and the legislative bodies should be able to work together as a symbol of a balance of power. Moreover, cooperation between Parliament and Supreme Audit Institutions is essential to ensure accountable, inclusive, participatory and transparent sustainable development. Fostering strong financial management, through reliable reports and controls, could detect and prevent corruption as it promotes transparency and accountability in government programs and activities.

Ladies and gentlemen,

- Since its inception in 2002, the Global Organization of Parliamentarians against Corruption (GOPAC) have been actively involved in the global anti-corruption network, while at the same time fostering a strong anti-corruption partnership among parliamentarians. Up until February 2019, we have 1397 members worldwide, as well as coordinated networks through 62 National Chapters and 5 Regional Chapters in Arab, Africa, Southeast Asia, Oceania, and Latin America. GOPAC is the only international network of parliamentarians dedicated to combat corruption, strengthen good government and uphold the rule of law.
- We developed several oversight handbooks such as: Financial Oversight, Improving Democratic Accountability Globally, and Guidelines to Strengthen Oversight through Parliamentary Donor Collaboration. The handbooks intended to be a guide to how parliamentarians can increase the effectiveness of their oversight role and how to work together with partners to achieve the goals.
- Previously, we have also been partnering with international organizations, including encouraging and supporting the successful implementation of the 2030 Agenda for Sustainable Development Goals (SDGs). Together with the Islamic Development Bank (ISDB), UN Development Programme (UNDP), and Westminster Foundation for Democracy (WFD), GOPAC developed a Parliamentary Handbook as guidance for Parliamentarians to successfully implement the SDGs, especially by monitoring the effectiveness and accountability of any development assistance. As of now, the handbook has been localized and developed into national version. We are now actively promoting and encouraging all Parliamentarians to make use of this Handbook as a valuable resource in achieving SDGs targets.

Distinguished participants,

- I would like to briefly elaborate the contributing factors which made those GOPAC works possible. The **first** one is by effectively identifying the needs of parliamentarians, so that our

tools could really provide practical guidance for them. For instance, while developing the SDGs Handbook in 2016, we organized a pilot workshop for parliamentarians from ASEAN countries to hear their feedback and accommodate their demands.

- Besides making sure that our tools could be easily adapted, we also ensure that they serve as opportunity to start discussions among key actors within and outside parliament. Hence, the **second** contributing factor is strategic partnership and coordinated efforts that we foster with related stakeholders; such as international institutions, academia, and civil society organizations. Our partners have contributed in formulating the handbooks, conducting workshops, providing consultation, as well as promoting the adoption and localization of our tools.
- The **third** contributing factor is parliaments' commitment in promoting accountability and transparency within their own institution. Although the engagement is varied regionally, parliamentarians are undertaking efforts to enhance their role in oversight function, such as by working on cross-party committees or working groups to push for their main objectives, and ensure the effective implementation of commitments adopted.

Ladies and gentlemen,

- Regardless those progress that have been made in pushing forth the transparency and accountability, we have to admit that there are still challenges ahead, especially in our region. Here, I would like to outline several points on what more can be done to promote and deliver the regional transparency and accountability process, as well as strengthen parliamentary roles in achieving this agenda.
- **First**, ensuring an effective democracy-building process in the region, as a prerequisite of accountable and transparent governance. This democratic consolidation is very important – because without democracy, there will be no participatory process, and even mere transparency will not translate into stronger accountability. In this regard, GOPAC is willing to intensify our support in democratizing states within the region, by encouraging ASEAN Parliaments to be more democratic. We call fellow ASEAN parliamentarians to strive for a much more representative, open, transparent, accessible, accountable, and effective legislative body in their respective countries.
- **Second**, ensuring that ASEAN ways of consensus are built upon the commitment of transparency and accountability. The democracy-building as a collective regional objective which I previously mentioned, implies that all ASEAN member-states should become democracies. That would require peer pressure on non-democratic member states; while at the same time this 'pressure' would arguably contradicts the existing ASEAN's principle of strict non-interference in the domestic affairs. However, we should remember that the ASEAN Charter itself clearly obliges member-states to "*strengthen democracy, enhance good governance and the rule of law.*" Especially considering the real threat of transnational corruption and the pressing needs to maximize sustainable development, I call ASEAN to prioritize the principles of transparency and accountability in its internal decision-making process. This really is the common ground that we should aim for a strong regional community.

- **Third**, encouraging all ASEAN Parliaments to strengthen their public financial oversight mechanism, by establishing Public Accounts Committee (PAC) or equivalent audit working groups. This is very important, because parliament doesn't only have a role in approving budgets, but also in reviewing government spending and hold them accountable. The PAC should also establish liaison and work closely with the Supreme Audit Institution (SAI), as they have a common ground to oversight and audit government budget. The committee could review and make use of auditors' reports as the basis for their inquiries. GOPAC also calls for a productive relationship between PAC and SAI, where expert audit institutions could inform committees on the quality of financial management across the government, and identify areas for attention.
- **Fourth**, we should also push these agendas forward within the ASEAN Inter-Parliamentary Assembly (AIPA). The issues of democratic consolidation, assuring transparency, and improving parliament's accountability should be at the center of discussion among members of ASEAN Parliaments. Through their constitutional functions, parliamentarians should position themselves as the leader on implementing these principles in the region.

Ladies and gentlemen,

- As a final message, I would like to reiterate that we do have great challenges which can only be solved by working together. Hence, we should promote transparency and accountability in the ASEAN region by taking great opportunities to collaborate and work towards the same goals with the same level of ambition.
- GOPAC is open to collaborate, and look forward to fostering strategic partnership in improving institutional capacity and ensuring the effectiveness of legislative reform.
- Let us work together to help break the culture of corruption, and help ensure that Parliament and other public institutions in the region are accountable and trustworthy for the benefit of all people.
- Thank you.

Jakarta, 26 February 2019

H.E. Dr. Fadli Zon

Chair, GOPAC

Vice Speaker, Indonesian House of Representatives



TRANSPARENCY AND ACCOUNTABILITY: FINDING A COMMON GROUND

Dr. Fadli Zon

*Chair, GOPAC (Global Organization of Parliamentarians Against Corruption)
Vice Speaker, The House of Representatives of the Republic of Indonesia*

A photograph of a modern building's facade, featuring a grid of glass and metal panels. The image is partially obscured by a light blue rectangular overlay on the right side.

BACKGROUND

TRANSPARENCY
+
ACCOUNTABILITY

Ensuring delivery of
public services

Sustaining economic growth
and development

=
**GOOD
GOVERNANCE**



DEMOCRACY
IN ASEAN

Democracy is **coming**
hand-in-hand with a
transparent and
accountable government

Most of the ASEAN
countries are still
in the phase of
Pseudo-Democracy



DETRIMENTAL EFFECTS OF **CORRUPTION**

**BARRIER IN ACHIEVING
SUSTAINABLE
DEVELOPMENT**

30%

of development aid
lost due to corrupt
practices

**UNDERMINE ECONOMIC
GROWTH AND DISRUPT
GOVERNANCE**

Destroy **PUBLIC TRUST**
towards state institutions

COLLABORATION AMONG **STAKEHOLDERS**



PARLIAMENT



SAI

Essential to ensure **accountable, inclusive,
participatory** and **transparent** development

GOPAC'S WORKS

GOPAC

GLOBAL ORGANIZATION OF PARLIAMENTARIANS
AGAINST CORRUPTION



GOPAC is the only international network of parliamentarians dedicated to **combat corruption, strengthen good government,** and **uphold the rule of law** throughout the world. GOPAC members who are current or former legislators, aim to combat and prevent corruption by strengthening integrity in the Parliament.



GOPAC
NETWORK

GOPAC consists **5 Regional Chapter, 62 National Chapter**, and around **1.397 Members** worldwide

- African Parliamentarians' Network Against Corruption (APNAC)
- Arab Region of Parliamentarians Against Corruption (ARPAC)
- GOPAC Latin America and the Caribbean
- GOPAC Oceania
- Southeast Asian Parliamentarians Against Corruption (SEAPAC)

TOOLS FOR PARLIAMENTARIANS HANDBOOKS



GOPAC
GLOBAL ORGANIZATION OF PARLIAMENTARIANS AGAINST CORRUPTION
ORGANIZACIÓN MUNDIAL DE PARLAMENTARIOS CONTRA LA CORUPCIÓN

FINANCIAL OVERSIGHT:
A HANDBOOK FOR PARLIAMENTARIANS
Niall Johnston
WORLD BANK INSTITUTE
Pushing knowledge and learning for a better world

World Bank Institute
GOPAC
GLOBAL ORGANIZATION OF PARLIAMENTARIANS AGAINST CORRUPTION

IMPROVING DEMOCRATIC ACCOUNTABILITY GLOBALLY
A HANDBOOK FOR LEGISLATORS ON CONGRESSIONAL OVERSIGHT IN PRESIDENTIAL SYSTEMS
NOVEMBER 2013

GOPAC
GLOBAL ORGANIZATION OF PARLIAMENTARIANS AGAINST CORRUPTION

THE PARLIAMENTARY NETWORK
FOR PARLIAMENTARIANS AND DONORS

Guidelines to Strengthen Oversight Through Parliamentarian-Donor Collaboration
SEPTEMBER 2013



PARLIAMENTARY HANDBOOK ON SDGs

Objective: Strengthening the Role of Parliamentarians in the Implementation of SDGs

Partnership: UNDP, Islamic Development Bank, Westminster Foundation for Democracy



WHAT MADE THE ACHIEVEMENT POSSIBLE



1

THE USE OF **EFFECTIVE TOOLS**

Effectively identifying the needs of parliamentarians (through pilot workshops, online feedback); so the tools could really provide practical guidance.



2

FOSTERING STRATEGIC **PARTNERSHIP**

Ensure that our tools and projects serve as an opportunity to start discussions among key actors within and outside parliament.



3

ENSURING PARLIAMENTS' COMMITMENT

Parliamentarians are undertaking efforts to enhance their role in oversight function, such as by working on cross-party committees or working groups.

RECOMMENDATION

ENSURING AN EFFECTIVE **DEMOCRACY-BUILDING PROCESS** IN THE REGION

GOPAC calls ASEAN parliamentarians to strive for a much more democratic (representative, open, transparent, accessible, accountable, and effective) legislative body.



ENSURING THAT **ASEAN WAYS** ARE BUILT UPON THE COMMITMENT OF **TRANSPARENCY & ACCOUNTABILITY**

Prioritize transparency & accountability in ASEAN's internal decision-making process, which should not be deemed as contradicting the non-interference principle.



STRENGTHENING **PUBLIC FINANCIAL OVERSIGHT MECHANISM** IN ASEAN PARLIAMENTS

Establishing Public Accounts Committee and work closely with the SAI. Foster productive relationship on reviewing reports as the basis for parliamentary inquiries, assess government financial management, and identify areas for attention.



PUSHING THE AGENDA FORWARD IN THE ASEAN INTER-PARLIAMENTARY ASSEMBLY (**AIPA**)

The issues of democratic consolidation, assuring transparency, and improving parliament's accountability should be at the center of discussion among members of ASEAN Parliaments.



GOPAC is open to collaborate, and look forward to fostering strategic partnership in improving **institutional capacity** and ensuring the effectiveness of **legislative reform**.

Let us work together to help break the culture of corruption, and help ensure that Parliament and other public institutions in the region are accountable and trustworthy **for the benefit of all people**.



THANK YOU



GOPAC Global Secretariat – Jakarta Office
DPR/MPR Building, Nusantara III, 2nd Floor
Jl. Jend. Gatot Subroto, Central Jakarta,
INDONESIA 10270



Transparency and Accountability thru Improved Public Sector Auditing

Speaker:
Michael G. Aguinaldo
Chairperson, Commission on Audit
Republic of the Philippines
Chair, ASEANSAI Training Committee

ASEANSAI Training Committee

- ❖ Creation of the Training Committee (TC)
- ❖ Mandate of the TC
- ❖ Composition of the TC

ASEANSAI Training Committee

❖ Alignment of the TC Work Plan with the ASEANSAI Strategic Plan

- Conduct of training needs assessment thru survey
- Identification of priority training courses based on the survey results

ASEANSAI Training Committee

❖ Alignment of the TC Work Plan with the ASEANSAI Strategic Plan

- Development of training materials (courseware, manuals, etc.) for the identified courses
- Conduct of training workshops

ASEANSAI Training Committee

- ❖ Completed major projects
- ❖ Pool of trainers
- ❖ Cooperation with IDI

Capacity-building accomplishments:

- 18 ASEANSAI Certified Financial Audit ISSAI facilitators
- Trained 130 financial auditors
- Conducted training workshop on:
 - ✓ Audit of Public Procurement - 19 participants
 - ✓ Fraud Audit/Investigation – 24 participants from all the 10 ASEAN member SAIs.

Contributors to the Success of the TC Projects

- ❖ Commitment of the SAI Heads to send participants to the training, even in instances when they have to partly fund their participants' expenses;
- ❖ Willingness of the SAI Heads to host some of the trainings conducted

Contributors to the Success of the TC Projects

- ❖ Financial support of development partners, especially the GIZ
 - ✓ World Bank supported LTAPII Phase 2 which was sub-led by SAI Malaysia
- ❖ Technical and partial financial support (in few instances) by the Swedish National Audit Office
- ❖ Coordination services of the ASEANSAI Secretariat provided to the TC projects

Lessons learned

- ❖ Importance of SAI leadership support for the trained auditors to establish their credibility among their peers in the SAI
- ❖ Utilization of the trained auditors, especially the certified ISSAI facilitators, in the capacity-building activities of the SAI

Lessons learned

- ❖ Possible phased implementation of SAI to SAI support as in the case of the:
 - ✓ TriCo Project between the SAs of Thailand and Lao PDR funded by the GIZ
 - ✓ On-site mentor support in the case of the IDI-ASEANSAI Cooperative Financial Audit Project

Lessons learned

- ❖ Sustaining the gains of LTAPII by monitoring its application in the SAs thru regular reporting in fora like the Senior Officials' Meeting (SOM) or knowledge sharing activities



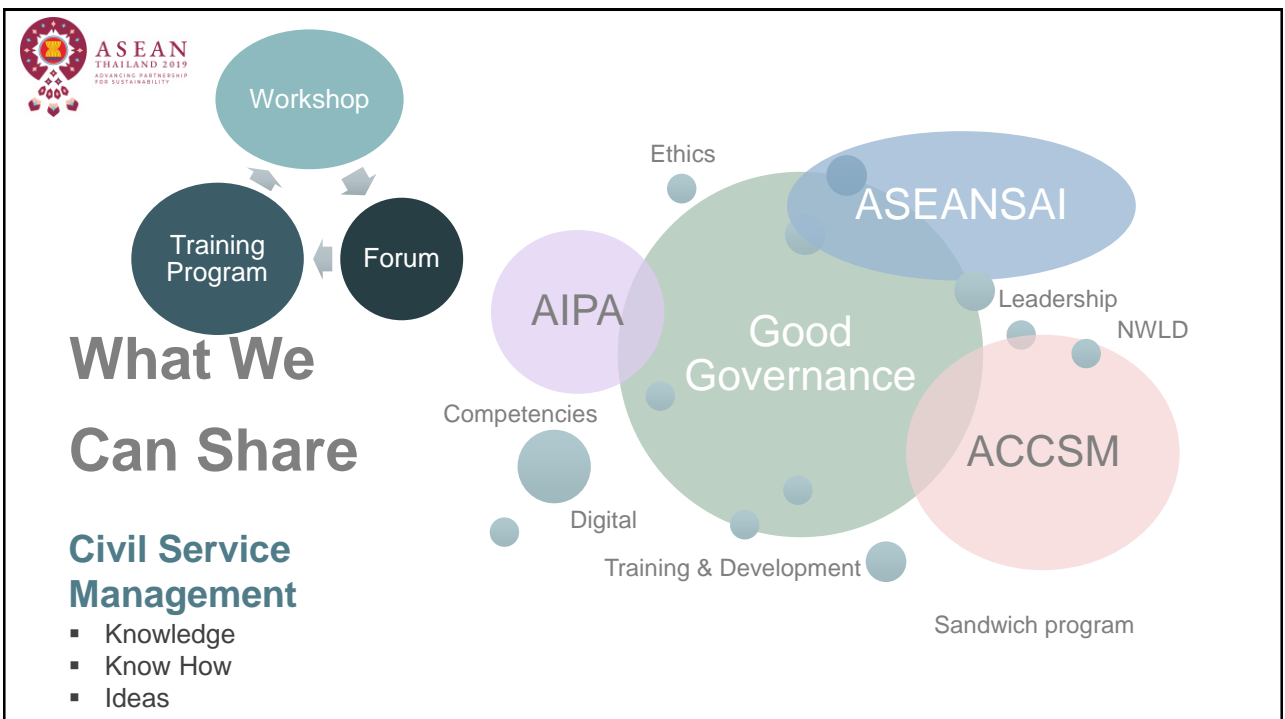
THANK YOU!



ASEAN THAILAND 2019
ADVANCING PARTNERSHIP FOR SUSTAINABILITY

“ AIM

- Fostering a greater level of cooperation among ASEAN civil services
- Being a platform for exchange of information, innovations and best practices in public service management in ASEAN
- Promoting effective cooperation and mutual assistance that supports the narrowing of development gaps among AMS
- Promote the role of civil service in contributing to the development of the three pillars of the ASEAN Community





Power of Collaboration

AIPA * ASEANSAI * ACCSM



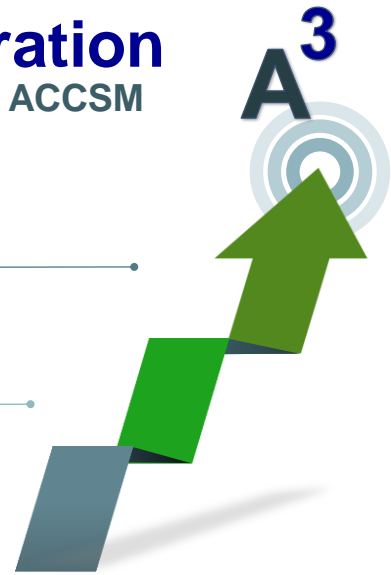
Coordinating & Collaborating



Learning & Sharing



Knowing & Understanding






ASEAN-ASEANSI-AIPA Joint Seminar

Session 3: Envisioning the Future:
Defining Collaborative Actions

Title:
**ASEAN-ASEANSI-AIPA
Strategies for Collaborative
Actions**

The banner features a blue background with a world map. At the top, there are logos for ASEAN, ASEANSI, and AIPA. The ASEAN logo is a red circle with a yellow emblem. The ASEANSI logo is a yellow laurel wreath with a blue globe in the center. The AIPA logo is a blue and yellow stylized 'A' with the text 'AIPA' below it. To the right of the AIPA logo is the text 'ASEAN INTER-PARLIAMENTARY ASSEMBLY'. On the right side of the banner, there is a 3D illustration of a small globe with a green island on top, featuring a city skyline and a tree. The globe is surrounded by a blue ocean and a white ring of light.



GOAL 17

STRENGTHEN THE MEANS OF IMPLEMENTATION AND
REVITALIZE THE GLOBAL PARTNERSHIP FOR
SUSTAINABLE DEVELOPMENT

UNITED NATIONS

SUSTAINABLE DEVELOPMENT GOALS

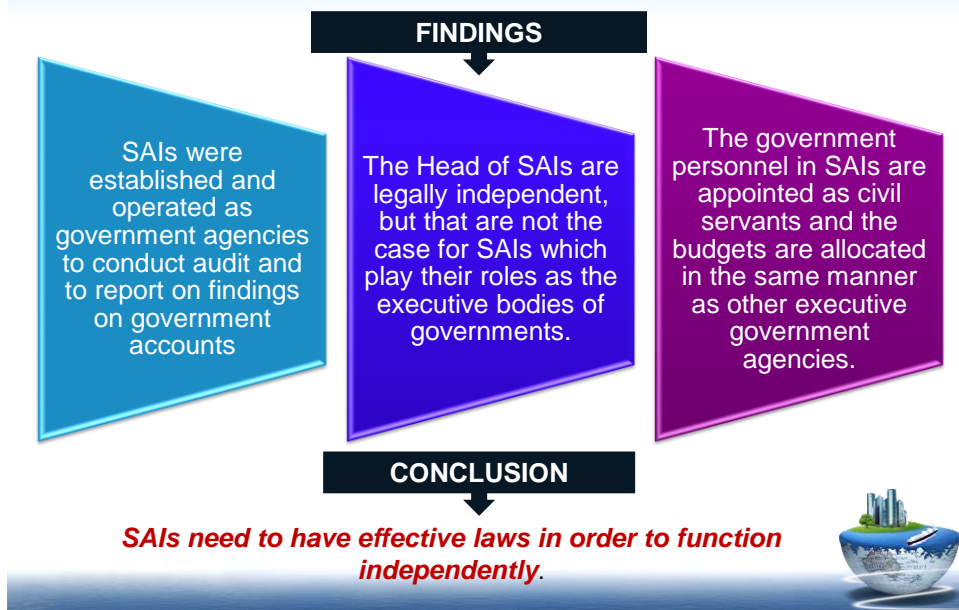
The banner features a blue background with a world map. At the top right, there is the United Nations logo, which is a blue globe with a white emblem. Below the logo is the text 'United Nations'. In the center of the banner, there is a 3D illustration of a small globe with a green island on top, featuring a city skyline and a tree. The globe is surrounded by a blue ocean and a white ring of light. At the bottom of the banner, there is a blue bar with the text 'SUSTAINABLE DEVELOPMENT GOALS'.

STRATEGY ONE: ***Promoting ASEANSAI Independence***

In May 2018, Knowledge Sharing Committee has conducted a workshop on the Awareness and Knowledge Sharing of Good Practices on SAI Independence in Bangkok, Thailand.



Promoting ASEANSAI Independence



COMMON GOALS

ASEAN Charter

“Adhering to the principles of democracy, the rule of law and good governance, respect for and protection of human rights and fundamental freedoms.”

ASEANSAI Establishment

“Adhering to the rule of law, professional standards, and international best practices in the field of public sector auditing, as well as principles of good governance”

AIPA Statutes

AIPA aims “to study, discuss and suggest solutions to problems of common interest and express its views on such issues with the aim of bringing about action and timely response by the members of AIPA.”



GOAL 16

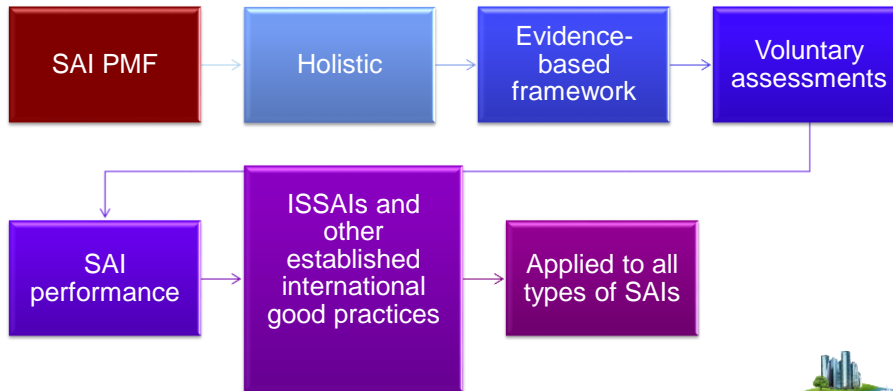
PROMOTE PEACEFUL AND INCLUSIVE SOCIETIES FOR SUSTAINABLE DEVELOPMENT, PROVIDE ACCESS TO JUSTICE FOR ALL AND BUILD EFFECTIVE, ACCOUNTABLE AND INCLUSIVE INSTITUTIONS AT ALL LEVELS



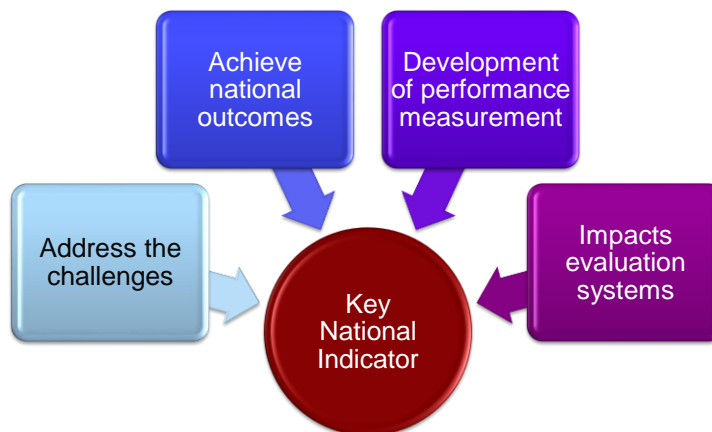
SUSTAINABLE DEVELOPMENT GOALS



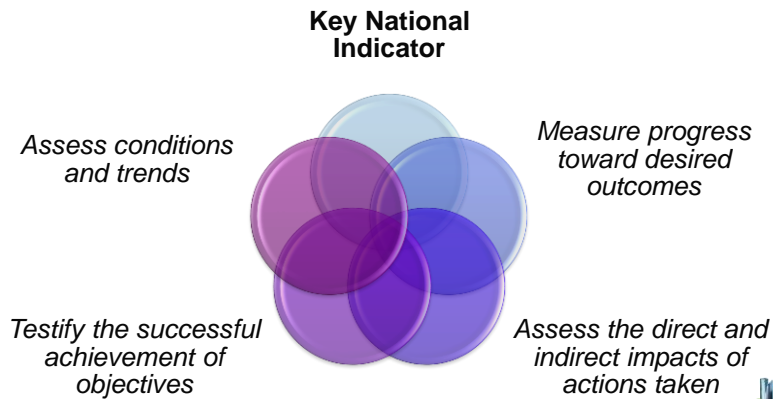
STRATEGY TWO:
The Application of SAI Performance Measurement Framework and Key National Indicator in order to Strengthen ASEANSAI Members as the Supreme Audit Institutions



STRATEGY TWO:
The Application of SAI Performance Measurement Framework and Key National Indicator in order to Strengthen ASEANSAI Members as the Supreme Audit Institutions



STRATEGY TWO:
The Application of SAI Performance Measurement Framework and Key National Indicator in order to Strengthen ASEANSAs as the Supreme Audit Institutions



STRATEGY TWO:
The Application of SAI Performance Measurement Framework and Key National Indicator in order to Strengthen ASEANSAs as the Supreme Audit Institutions

