

**SUMMARY OF DISCUSSION OF THE 7TH STRATEGIC PLAN COMMITTEE
ON DEVELOPING ASEANSAI STRATEGIC PLAN 2018 - 2021**

16th - 17th August 2016

Hanoi, Vietnam

1. DATE AND VENUE

- 1.1 The Strategic Plan Committee (SPC) Technical Meeting was held from 16th – 17th August 2016.
- 1.2 The event took place in SAV Headquarter, 111 Tran Duy Hung, Cau Giay, Hanoi.

2. PARTICIPANTS OF THE ASEANSAI TECHNICAL MEETING

The SPC Technical Meeting was attended by 16 delegates from:

- i) National Audit Authority of Kingdom of Cambodia (**SAI Cambodia**);
- ii) Jabatan Audit Brunei Darussalam (**SAI Brunei**);
- iii) The Audit Board of the Republic of Indonesia (**SAI Indonesia**);
- iv) The State Audit Organization of Lao P.D.R (**SAI Lao**);
- v) Jabatan Audit Negara Malaysia (**SAI Malaysia**);
- vi) The Commission on Audit of the Republic of the Philippines (**SAI Philippines**);
- vii) Office of the Auditor General of Thailand (**SAI Thailand**), and
- viii) State Audit Office of The Socialist Republic of Vietnam (**SAI Vietnam**).

The list of attendees is as attached in **ANNEX 1**.

3. TECHNICAL MEETING

- 3.1. SAI Vietnam welcomed all delegates to the SPC Technical Meeting, especially highly appreciated the attendance of Executive Committee representative (SAI Cambodia); and provided a general introduction about the meeting, including the objectives and working agenda. Accordingly, the meeting focused on (i) Evaluation on the results of the implementation of ASEANSAI Strategic Plan 2014 – 2017 in 2015; and (ii) Discussion on the approaches to develop the ASEANSAI Strategic Plan 2018 – 2021.
- 3.2. SAI Vietnam further emphasized that the current ASEANSAI Strategic Plan is coming to an end in 2017, it is time to think about the ideas, strategic approaches and methods to develop the ASEANSAI Strategic Plan for new period (2018 – 2021).

Agenda 1: Evaluating the results of the implementation of ASEANSAI Strategic Plan 2014 – 2017 for the year 2015

- 3.3. SAI Vietnam, on behalf of SAV as a role of SPC Chair, made a presentation about the report on the results of the implementation of ASEANSAI Strategic Plan 2014 – 2017 for the year 2015. The report put the focus on the following contents:
- Evaluating the implementation of activities according to the Work Plan approved by Executive Committee;
 - Evaluating the effectiveness of of implementing activities in line with the objectives specified in the ASEANSAI Strategic Plan 2014 – 2017;
 - Evaluating the annual results report 2015 and the first 6 months of this year on the implementation of ASEANSAI Strategic Plan 2014 – 2017; in which clearly stated that 2015 is the first time that the Monitoring and Evaluation system to be formally applied, Committees found some confusion on how to apply the monitoring tools. The Committee Progress Report was prepared without sufficient information in quantitative and qualitative analysis. The SPC therefore had some difficulties in collecting and analyzing data into a consolidated report.
- 3.4. SAI Vietnam invited all meeting participants to give comments/discussion for the report.
- 3.5. SAI Malaysia, Philippines and Cambodia updated some activities implemented in the first 6 months of 2016.
- 3.6. SAI Indonesia commented that while there were many activities undertaken, some were not aligned to the Strategic goals/ Strategic objectives. SAI Malaysia agreed with this comment. Regarding this point, SAI Philippines raised the issue on who really is tasked in ensuring that the activities in the Work Plans are really aligned to the Strategic Goals/ Strategic Objectives.
- 3.7. Most of the participating SAIs highly agreed to take on greater responsibilities in contributing ideas and comments in order to complete the report on the implementation of the Strategic Plan in the next years; especially urge each Committee to provide with activities and results in a more specific and detailed manner, aligning with the Strategic Plan's objectives and goals.

Agenda 2: Ideas and approaches to develop ASEANSAI Strategic Plan 2018 - 2021

- 3.8. SAI Vietnam continued to preside over the second content of the meeting: Making presentation on ideas and approach to develop

ASEANSAI Strategic Plan 2018 – 2021, in which focus on the following contents: *Basis for developing the ASEANSAI Strategic Plan 2018 – 2021; Approaches to develop the ASEANSAI Strategic Plan 2018 – 2021; and Suggested Framework of ASEANSAI Strategic Plan 2018 – 2021.*

- 3.9. SAI Indonesia suggested to conduct survey in order to define the demands of Committees and all ASEANSAI members on developing the Strategic Plan 2018 – 2021; Moreover, SAI Indonesia made suggestion for development of Strategic Plan 2018 – 2021, including methodologies, strategic planning development framework, general action plan and the 12 principles of the value and benefits of SAIs under each of the three objectives. The suggested action plan clearly shows the specific activities and implementation roadmap from now to the end of 2017. Most of SAIs highly agreed with the general action plan recommended by SAI Indonesia.
- 3.10. Most of SAIs also agreed that in ASEANSAI Senior Officials Meeting, SPC will propose to appoint a Committee to take responsibility of reviewing activities under the Work Plan before submitting to Executive Committee for its approval in order to ensure the closeness and alignment with the Strategic Plan; Also suggested to consider the consensus mechanism in the operation of ASEANSAI (currently it is required to achieve 100% pass rate) in order to promote the possibility of reach important decision within the organization. In practice, because of this mechanism, some activities were delayed and pending, for example, the proposal for ASEANSAI as an external auditor of ASEAN just obtained the agreement from 04/10 SAIs.
- 3.11. Most of SAIs agreed that the next SPC meetings will be extended to other participants, including representatives of other Committees and technical experts who support the development of Strategic Plan (if any).

4. WRAP-UP & THE WAY-FORWARD

SAI Vietnam summarized the results of the meeting and concluded points for the way forward with reference to the ideas and comments of all participating SAIs. Accordingly, SAI Vietnam will:

- Request all ASEANSAI members/ Committees to send activities/ results of their works in 2016 for updating assessment of Strategic Plan implementation (December 2016);
- Circulate the summary of discussion (the first half of September);
- Develop Action Plan for developing Strategic Plan 2018 – 2021 (the first half of September);

- Finalize the summary of discussion among SPC members (the second half of September);
- Circulate the survey questionnaires for developing ASEANSAI Strategic Plan 2018 – 2021 among SPC members (the second half of September) before sending to all ASEANSAI members (the second half of October 2016);

5. CLOSING

- 5.1. SAI Vietnam expressed his appreciation to all delegates for their participation and contribution during the meeting.
- 5.2. The meeting ended at 11:30am on 17th August 2016

PARTICIPANTS OF THE 7TH SPC MEETING

No.	SAI	Name	Position
1.	Vietnam (3) thulth@sav.gov.vn	Mr. Phan Trường Giang (Mr. Giang)	Deputy Director General
2.		Mrs. Đỗ Thị Bình (Ms. Bình)	Deputy Head of Division
3.		Mrs. Lê Thị Hoài Thu (Ms. Thu)	Official
4.	Indonesia (2) yulipuspitasari@yahoo.com	Mr. Beni Ruslandi	Head of Directorate Strategic Planning and Performance Management
5.		Mr. Antonius Tri Adi Rektanto	Staff Sub Directorate Strategic Planning
6.	Malaysia (2) maisarah@audit.gov.my	Allias Bin ALWI (Mr. Allias)	State Audit Director of Negeri Sembilan
7.		HemaLatha KUMARESAN (Mr. Hema)	Assistant Audit Director
8.	Philippines (2) scontarciego@gmail.com	Maria Reyes YSMAEL (Ms. Liza)	Director III
9.		Delia Duduaco AGATEP (Ms. Del)	Director IV
10.	Laos (2) ird.sao.la@gmail.com	Sonephet DORABOUT (Mr. SonephetDorabout)	Head of Division
11.		Thongsamay INTHILATH (Mr. ThongsamayInthilath)	Officer
12.	Thailand (2) int_rela@oag.go.th	Sirat SRISOONTORNPINIT (Mr. Sirat)	Policy and Planning Analyst Professional Level
13.		Sitthisak CHAIYASUK (Mr. Sitthisak)	Policy and Planning Analyst Practitioner Level
14.	Cambodia (1) chea_sopha@yahoo.com	Sopha CHEA (Mr. CheaSopha)	Director
15.	Brunei (2) Nurida.hashim@audit.gov.bn	Ismayadi Sugara ASRUL HAYATI (Mr. Yadi)	Acting senior auditor
16.		Razaimi ADAM (Mr. Razaimi)	Acting Assistant of Auditor General