

**EVALUATION ON ASEANSAI COMMITTEES' WORKPLAN
YEAR 2012**

No	Committee	Workplan	implementation	Evaluation	Follow Up
1	Strategic Planning Committee	1 Planning the development of the strategic plan		The Strategic Plan Committee have finalized the ASEANSAI Strategic plan 2014-2017 on the Third Meeting in Jakarta. Now, the 1st draft ASEANSAI Strategic Plan have been distributed to the all member SAIs to get feedback.	
		2 Conducting Needs Assessment	Needs assessment survey to 10 ASEANSAI SAIs members on April 2012 have done on 12 April 2012	overall, The Strategic Planning Committee has been done all the workplan in 2012	
		a. Tim formation who knowledgable of IDI Approach and ASEANSAI			
		b. Agree on the framework (domain used) to conduct needs assessment			
		c. Agree on the tools used to gather data			
		d. Data gathering			
		e. Data analysis and summary			
		f. List of challenges			
		3 Articulating vision, mission and values	ASEANSAI vision, mission and values have been formulated.		
		a. Understanding the general trends and development in INTOSAI, ASOSAI, ASEAN and general condition of ASEANSAI members			

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		b. Agree on the framework to conduct needs assessment			
		c. Formulating vision and mission statement and values			
		d. Selecting the available vision, mision and values statements			
		e. Agreed vision, mission and values statements			
		4 Identifying strategic issues	Main Issue identified, based on the result of needs assessment survey.		
		a. Determine links across domains			
		b. Determine strategic issues			
		5 Determining Strategic Goals and Strategic Objectives	Strategic goals and Strategic objective have been formulated		
		a. Convert the startegic issues to goal statements			
		b. Determine objectives for the draft goal statements			
		6 Developing Performance Measure (KPI)	Performance Measure (KPI) have been developed		
		a. Distribute the goals and objectives to the working committee at the ASEANSAI and proposing new committees if necessary			
		b. Formulating performance measures to evaluate input, output and outcome			
		c. Defining the target for each performance measure			
		7 Developing an Implementation matrix	Implentation matrix have been formulated on the 3rd Committee meeting in Jakarta on 23-26 September 2012		

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		a. Identifying projects that would necessary to achieve the goal and objectives as per performance measures			
		b. Prioritizing the projects			
		c. Develop implementation matrix			
		8 Documenting the Strategic Plan			
		a. Determine the contents of the strategic plan document			
		b. Write contents			
		c. Profread and design layout			
		9 Submission of the strategic plan to get feedback	The first draft of the ASEANSAl Strategic Plan 2014-2017 have been distributed to the all members to get feedback		
		10 Finalization Strategic Plan	The first draft of the ASEANSAl Strategic Plan 2014-2017 have been distributed to the all members		
		11 Developing system to monitor and evaluate the implementation	The System to Monitor and evaluate the implementation of the Strategic Plan have been developed		
2	Rules and Procedures Committee	1 Committee members to send comments on draft Terms of Reference (TOR) and Work Plan to Chairman	Committee's TOR and Work Plan have been agreed by all members	Rules and Procedures Committee still seeking comment for the 1st draft of the Rules and Procedures.	Remind the member SAlS to give comment on the 1st draft of ASEANSAl Rules and Procedures.

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		(by end Jan 2012)			
		2 Chairman to send revised draft TOR and Work Plan to Committee members (by 7 Feb 2012)			
		3 Committee to meet in Kota Kinabalu, Malaysia to discuss draft TOR and Work Plan (after seeking members' comments) (9 - 11 Feb 2012)			
		4 Chairman to finalise draft TOR and Work Plan and submit them to the ASEANSAI Secretariat (by 17 Feb 2012)	draft TOR and Work Plan have been approved by the Assembly on 29 February 2012 in Jaipur, India.		
		5 Secretariat to table TOR and Work Plan at Assembly meeting in Jaipur, India for approval (29 Feb 2012 - 3 Mar 2012)	draft TOR and Work Plan have been approved by the Assembly on 29 February 2012 in Jaipur, India.		
		6 Committee members to agree on draft outline and to draft rules and procedures for respective sections (1 Mar - end May 2012)	draft outline of the ASEANSAI rules and procedures (agreed upon by RPC members on 2 April 2012)		
		7 Committee members to circulate their respective sections to other Committee members for comments (by end Jun 2012)	Done by SAI Singapore		
		8 Committee members to send revised draft sections and outstanding matters to Chairman (by end Jul 2012)	Done		

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		9	Chairman to prepare 1 st draft of rules and procedures and submit to the Secretariat for consideration to be adopted as interim rules and procedures (by mid Sep 2012)	1st draft of rules and procedures have been agreed by RPC members		
		10	Committee members to give comments on the 1 st draft of rules and procedures and outstanding matters to Chairman (by mid Oct 2012)			
		11	Chairman to evaluate comments and prepare 2 nd draft of rules and procedures (by end Nov 2012)			
		12	Chairman to obtain comments on 2 nd draft of rules and procedures and outstanding matters from ASEANSAl Members (by end Dec 2012)	Secretariat still waiting for the input and comments from some members of ASEANSAl		
3	Knowledge Sharring Committee	1	Symposium on Good Governance	Symposium on Good Governance on 28 and 29 November 2012 in Brunei Darussalam	in 2012, Knowledge Sharring committee have been conducted 1 Symposium and 2 workshop.	
		2	Dissemination of auditing guidelines & manuals	The Chair uploaded some guidelines on the ASEANSAl Website		
		3	Workshop on Water Management Audit	Paralle auditing Seminars on Non Revenue Water have been conducted in Malaysia		

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		4 Risk-based audit approach in state company auditing	not implemented	In the beginning, when drafting the work plan, there is an assumption that the donor will give fund for some activities of knowledge sharing. But until the end of 2012, ASEANSI did not receive any fund from the donor. That is the reason why some activities were not implemented.	
		5 Implementation of e-audit	not implemented		
		6 Seminar/Workshop - Financial Management Accountability Index	Parallel Auditing Seminars on Financial Management Accountability Index (FMAI) have been conducted in Malaysia		
		7 Climatic change audit			
		8 Workshop on Procurement of IT / infrastructure project/public works	not implemented		
		9 Seminar on Quality Assurance in Financial Audit	not implemented		
4	Training Committee	1 Develop needs assessment (NA) survey.	Need assessment survey have been conducted and the survey results have been presented in the TC Meeting held in Manila, Philippines, on June 4-5, 2012.	This year, Training Committee have been conducted the first Training in Yogyakarta. This year training committee only provide one training because the ASEANSI has not received support from donors.	
		2 Request comments from the other members of the Training Committee (TC) and the Secretariat.			
		3 Finalise survey instrument and circulate among members through the Secretariat.			
		4 Collate and analyse results of the survey.			

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		5	Develop training plan.	The training plan for 2012-2013 and proposed budget for 2012-2015 have been formulated		
		6	Submit to the Secretariat for approval.			
		7	Through the Secretariat, identify subject matter expert (SME) and/or training specialists to assist in designing training programs/ workshops.			
		8	Design training programs/ workshops based on approved training plan.	Training design and materials for the QAFA workshop Session Guide and Instructors notes with exercise and case studies and suggested solutions		
		9	Implement the training programs/ workshops.	Trained 23 participants from 10 ASEANSAl members countries on QAFA with commitments to implement QAFA by Customizing the QAFA handbook;pilot testing quality assurance review of selected financial audit file; creating a quality assurance team or unit who will be in charge of doing quality assurance review in the respective SAIs		

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		10	Conduct monitoring of learnings from the training programs/ workshops and collect data/ information on lessons learned.	monitoring of learnings from the training programs/ workshops and collect data/ information on lessons learned have conducted after the QAFA Training in Jogjakarta		
		11	Prepare report on the results of the training programs/ workshops.			
5	Sekretariat	1	Administrative arrangement of the Sekretariat		1. ASEANSAl's Sekretariat still preparing to narrow the logo alternatives before delivered to seeking comment from the members SAl's.	Narrowing the logo alternatives.
			a.Planning the budget	The beneficiary bank account for the annual and voluntary contributions is as follows: Beneficiary Bank Name: BNI CABANG SENAYAN Swift Code: BNIIINIDJAXXX Beneficiary Account: 2011111909 The Chairman's official letters to collect contributions from Members were delivered. Several SAl's have submitted their contributions to the bank account	2. ASEANSAl Secretrariat could not managing the finances because the beneficiary bank account for the annual and voluntary contributions have been made this year.	
			b. Managing Finances			
			c.Supporting, observing and reporting activities of the members			
		2	Establishing the organizing committee (OC) to run the Sekretariat (internal)	Done		

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		3	Endorsement of ASEANSAI as a legal entity (internal for BPK RI)	Done		
		4	ASEAN's Endorsement of ASEANSAI as Entity Associated with ASEAN	<p>a. The Chairman's formal letter was delivered to the Secretary General of the ASEAN to process the ASEANSAI to be the associated entity of the ASEAN</p> <p>b. The Chairman's formal letters were delivered to all Heads of SAs to communicate and approach their respective Country Permanent Representatives (CPRs) in the ASEAN to accelerate the process</p> <p>c. The SA of Myanmar, Thailand, Vietnam and Singapore have sent their letters of support to their CPRs and ASEANSAI Secretariat</p>	ASEAN Secretariat informally informed the ASEANSAI Secretariat that all CPR already agreed with the status of ASEANSAI as associated entity with ASEAN. ASEAN Secretariat will send formal letter to inform about it soon.	
		OBJECTIVE 2 : Facilitation of collaborations and management of communication flow for members of ASEANSAI				
		1	Publicizing, promoting, and encouraging development activities			
		2	Building relationships with ASOSAI, INTOSAI, Donors and other organizations			
		3	Facilitating ASEANSAI Meetings			

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		4	Developing Website	The ASEANSI official website was officially launched at the ASEANSI meeting in Jaipur, India. The website manual, usernames and passwords were distributed to all Member SAs during the ASEANSI Symposium on Good Governance in Brunei Darussalam on November 27-29, 2012		
		5	Publishing newsletter	ASEANSI Newsletters volume 1 to 4 were published and uploaded to the ASEANSI website		
		6	Creating the ASEANSI Logo	The ASEANSI logo alternatives have been delivered to all Members but the final logo has not been chosen yet since there are different choices made among Members. The Secretariat is now preparing to narrow the logo alternatives and will then deliver them again to all Members		
		7	Developing communication media	Published Warta BPK special editions in November and December 2011 covering the ASEANSI news on its development		
		OBJECTIVE 3 : Supporting the development of Committees' work plan and monitoring the work plan implementation				
		1	Communicating with the Committees in the planning phase			

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			a.Executive Committee Meeting in Brunei	a. The Executive Committee meeting was held in the Audit Department of Brunei Darussalam in Bandar Seri Begawan on January 25-27, 2012. It mainly discussed about the work plan of the ASEANSI Executive Committee and Secretariat for the period of 2011-2013, the development of the strategic plan of the ASEANSI, and the strategic alignment of the Committees' work plan.		
			b. Committee Meeting in Kota Kinabalu, Malaysia	b. The ASEANSI Committees' TORs and work plans were finalized during the ASEANSI Committee Meeting in Kota Kinabalu, Malaysia in February 2012.		
		2	Monitoring the progress of the development of work plan			
		3	Arranging meetings to finalize the work plan	a. Endorsed the TORs and work plans at the ASEANSI meeting in Jaipur, India, during the XII ASOSAI Assembly meeting. b. All Members approved the amended TORs and work plans.		
		4	Monitoring the implementation of work pan			