

## Let's make a check-up! Critical factors of success

### Strategy

Are there options for quick wins to start with?

...to demonstrate the benefits of KMKS.

### Assets

Are there instruments, strengths or other assets which have been successfully established already (and are used)?

...to build upon.



### Attitude

What is the best way to maintain and foster commitment of member SAI?

...to achieve impact continuously.

### Processes

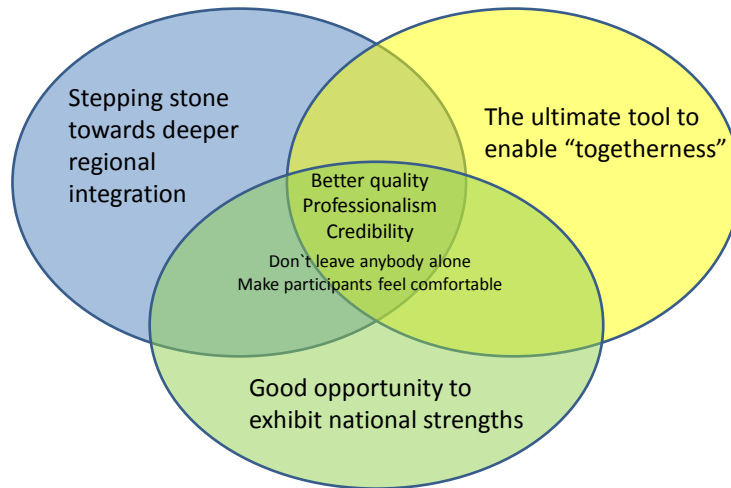
How can we move quickly to get results (champions, fast movers etc.)?

...to take action without leaving the others behind.

29 - 30 May 2014  
Renaissance Hotel, Kuala Lumpur  
02/06/2014



## Expectations from KS



29 - 30 May 2014  
Renaissance Hotel, Kuala Lumpur



**giz** Deutsche Gesellschaft  
für internationale  
Zusammenarbeit (GIZ) GmbH

## Common understanding

### 1: KS for ISSAI Implementation

- Pool of ISSAI facilitators
- identify guidelines „not applicable“ and explain
- Customization: taking into account the national context
- Having (selected) documents in local language (interpretation, translation)
- Learning from others, Secondment on ICATs, Joint in-house trainings
- ISSAI day / market place
- Training needs assessment as basis for systematic training approach for ISSAI
- Publication on progress and gaps in ISSAI implementation
- Youtube & Social Media for exchange on ISSAI implementation
- Joint seminar series on ISSAI implementation (annual sharing exercise)
- Cascading methodology to share knowledge from training returnees
- Peer Review on ISSAI implementation

29 - 30 May 2014  
Renaissance Hotel, Kuala Lumpur



**giz** Deutsche Gesellschaft  
für internationale  
Zusammenarbeit (GIZ) GmbH

# Common understanding

## 2: KS for Trainings (inputs, methodol., results)

- Joint definition of content and relevant topics
- KSC outputs should be inputs to TC activities >> Systematic training approach (series, e.g. Starting with ISSAI) – et vice versa (e.g. Sharing success stories)
- Experience from Vietnam: support workshop on INTOSaint
- Strengthen cooperation between KSC and TC (also linking with IT)
- Joint in-house training

## 3: KS of basic documents

- Openness & trust: how do we create an environment of sharing
- Recognition for best articles
- To what extent can SAI share their manuals & guidelines

29 - 30 May 2014  
Renaissance Hotel, Kuala Lumpur



**giz** Deutsche Gesellschaft  
für internationale  
Zusammenarbeit (GIZ) GmbH

# Case 1

## ISSAI Implementation

Project lead: Philippines

29 - 30 May 2014  
Renaissance Hotel, Kuala Lumpur



**giz** Deutsche Gesellschaft  
für internationale  
Zusammenarbeit (GIZ) GmbH

# From plan to action



Steps 2: Discussion and Live Documentation in Engagement Workshop

1. **What exactly** do we want to achieve?  
...and **how**?



2. **What** are the main obstacles?
3. **Who** can solve them?  
...and **how**? **Which** KSC members help?
4. **How** do we make sure that other SAI are informed?

Step 1: Presentation by Project Leader



29 - 30 May 2014  
Renaissance Hotel, Kuala Lumpur



**giz** Deutsche Gesellschaft  
für internationale  
Zusammenarbeit (GIZ) GmbH

## What exactly do we want to achieve?

- **Goal:** Better audit quality, SAI credibility & professionalism
- The **purpose** to conduct the ISSAI implementation program are to :
  - Share knowledge and experience on the implementation of ISSAIs
  - Facilitate process of adoption of ISSAIs for a more effective implementation by committed SAIs
  - Build a communication network to help SAIs in implementing the ISSAIs
- Tangible **results**
  - A high level of commitment from ASEANSAI members to achieve quality audit
  - A continuous effort to maintain professional and quality audit
  - A more effective adoption/implementation process by committed SAIs

29 - 30 May 2014  
Renaissance Hotel, Kuala Lumpur



**giz** Deutsche Gesellschaft  
für internationale  
Zusammenarbeit (GIZ) GmbH

## ...and how?

**Premise:** atmosphere of trust among SAIs

### 1. Workshop in August 2014

- Input: Prepare paper from each SAI where their implementation practices are strong (incl. stumbling blocks)
- => Output: Benchmarking

### 2. Road Map for joint progress

- Define step-by-step approach
- Which SAIs can help or coach others

29 - 30 May 2014  
Renaissance Hotel, Kuala Lumpur



**giz** Deutsche Gesellschaft  
für internationale  
Zusammenarbeit (GIZ) GmbH

## Step-by-step approach

- What to do first:
  - **Financial audit**
  - Compliance audit
  - Performance audit

29 - 30 May 2014  
Renaissance Hotel, Kuala Lumpur



**giz** Deutsche Gesellschaft  
für internationale  
Zusammenarbeit (GIZ) GmbH

## Audit stream to do first

Country	Financial/Compliance/Performance ISSAI compliance workshop
Brunei	Financial
Cambodia	Financial (iCAT completed), Performance (iCAT completed)
Lao PDR	Financial (iCAT ongoing)
Malaysia	Financial + Performance (iCAT completed, ISSAI compliance assessment report submitted to IDI)
Myanmar	Financial (iCAT ongoing)
Philippines	Financial (iCAT completed, ISSAI compliance assessment report)
Thailand	Financial (iCAT completed), Performance (iCAT completed)
Vietnam	Financial (iCAT completed), Performance (iCAT completed), compliance (iCAT completed)
Indonesia	Financial (iCAT ongoing), Performance (iCAT ongoing),

27 - 30 May 2014  
Renaissance Hotel, Kuala Lumpur



## Follow-ups

1. Participants are provided with TO-DO List today (hard-copy)
2. Workshop Documentation to be sent until June 9th by KSC Chair to ASEANSAI Chair and distributed to all SAIs
3. Mapping of Strength (including Facilitators and Facilities): Validation, and completion by Singapore. Responsibility: KSC
4. Important results to be put on the ASEANSAI website by KSC Chair until (?)
5. August Workshop Indonesia
6. Keep connected – second KS Engagement Workshop (?) and distribution email list
7. Set up dialogue between KSC and TC

29 - 30 May 2014  
Renaissance Hotel, Kuala Lumpur

