

APPROVED

ASEANSAI KNOWLEDGE SHARING COMMITTEE WORK PLAN 2018 – 2019

Strategic Goals	Strategic Objectives	Projects/ Programs		Suggested KPI (subject to be defined by Committee/Secretariat)	Activities				Outputs	Outcomes
		Title of project	Responsible Committee		Name of activity	Responsible SAI	Time	Budget (USD)		
SG 1: To support the implementation of ISSAI and other INTOSAI good practices	SO 1.1.To promote safeguarding the independence of member-SAIs	P 1.1.1. Initiative for ASEANSAI support member SAIs to be aware of ISSAI 10	KSC	KPI 1.1. Increased percentage of member SAIs aware of ISSAI 10 - Baseline: 0 - Target: Self-assessment report prepared by member SAIs based on SAI PMF – independence domain	1. Conducting awareness Knowledge Sharing on good practices about SAI Independence	SAI Malaysia PL: SAI Thailand HC: SAI Thailand	2018	33,000	Resolutions on good practices and recommendations to promote SAI Independence	Strengthened independence of member-SAIs
					2. Conduct awareness campaign on SAI independence with ASEAN Inter-Parliament Assembly AIPA	SAI serves as the Secretariat			Evaluation Report on degree of AIPA/ parliament awareness on the importance of SAI independence with AIPA; Agreement on joint action	
	SO 1.2.To assist member SAIs develop national audit standards and guidelines	P 1.2.1. Continuation of LTAPII	TC KSC	KPI 1.2.1 Increased number of member SAIs with ISSAI-based audit standards and/or guidelines	1. Continue remaining activities of LTAPII phase 2	SAI Malaysia PL: SAI Philippines HC: SAI	2018	28,600 (TBD with PL)		

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	aligned with ISSAIs			- Baseline: TBD - Target: TBD		Lao P.D.R				respective member SAIs
					2. Project evaluation meeting at senior officials level	TBD			Meeting report with recommended follow-up actions to be taken	
					3. Related member SAIs develop national audit standards/ guidelines in line with ISSAI	TBD			National audit standards/ guidelines are issued by respective SAIs	
SG 2: To promote good performance of member SAIs	SO 2.1.To support member SAIs to utilize performance related tools and concepts	P 2.1.1 To promote the application of SAI PMF	TC	KPI 2.1. Number of member SAIs gain comprehensive understanding of SAI PMF - Baseline: 0 -Target: TBD	1. Raise awareness of member SAI on SAI PMF (through conducting awareness workshop)	TBD			Evaluation report on degree of SAIs' awareness on SAI PMF	ASEANSAI Member SAI may adopt SAI PMF tool to measure their performance in their organization
					2.Knowledge sharing on SAI PMF application (Independence domain excluded)	TBD			Checklist of good practices and recommendations on applying SAI PMF	
	SO 2.2. To support member SAIs to progress in auditing	P 2.2.1 Capacity Development for more synergy	TC	KPI 2.2. Percentage of participants involving in full range of activities and completed post-	1. Update Procurement Audit Manual	Update: SAI Malaysia Review: SAI	2019	Nil	Updated Procurement Audit Manual (by online distribution only)	Improved capacity of member SAIs in auditing

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	through capacity building			assignment to apply knowledge gained to actual context of SAI - Baseline: TBD -Target: TBD		Thailand				
					2.Instructor's Design Meeting on the updated Audit Public Procurement (WAPP)	SAI Malaysia PL: SAI Philippines HC: SAI Singapore	2019	32,500	Updated WAPP Courseware	
					3.Conduct Workshop on Audit of WAPP	SAI Philippines			No. of trained SAI auditors	
SG 3: To support member SAIs to fulfill their role towards the successful achievement of the SDGs	SO 3.1: To encourage and support member SAIs to conduct SDG-related auditing	P 3.1.1 Research project on SDGs-related audit on the basis of IDI-KSC guidance on audit of preparedness for implementation of SDGs	KSC	KPI 3.1. Number of audit reports issued by member SAIs in line with INTOSAI guidelines on Auditing SDG - Baseline: TBD -Target: TBD	1.Building awareness on SDGs-related audit issues	SAI Malaysia PL: SAI Malaysia HC: SAI Indonesia	2019 or 2020	37,500	Evaluation Report on Degree of member SAIs awareness on the SDGs	The capacity of member SAIs in SDGs-related audit is enhanced
					2. Identify prioritized SDGs-related audit through survey to prepare courseware	SAI Indonesia			Survey results	
					3. Conduct knowledge sharing workshop on audit of SDGs	TBD			Number of member SAIs' auditors who accomplish the	

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					4. Develop guidelines on SDGs - related audit based on IDI- KSC guidance				training course	
SG 4: To strengthen ASEANSAI's active role within ASEAN agenda	SO 4.1: To support ASEAN and AEC Agenda	P 4.1.1 Partnering with ASEAN	The Secretariat	KPI 4.1. Number of cooperation areas/ activities agreed between ASEANSAI and ASEAN -Baseline: TBD -Target: TBD	1. Identify possible cooperation areas/activities with ASEAN	SAI serves as the Secretariat			List of possible cooperation area	ASEANSAI becomes an enabling partner of ASEAN in promoting good governance
					2. Building and establishing communication channels with ASEAN	SAI serves as the Secretariat			Communication channels with ASEAN	
					3. Building cooperation in order to promote good governance in ASEAN region	SAI serves as the Secretariat			Cooperation areas/ activities agreed between ASEANSAI and ASEAN	
SG 5: To improve the cooperation with regional and international partners	SO 5.1. To expand cooperation with international SAI partners and stakeholders	P 5.1.1 Building communication and coordination mechanism with ASOSAI and INTOSAI	The Secretariat	KPI 5.1. Number of activities carried out by ASEANSAI in cooperation with ASOSAI and INTOSAI - Baseline: TBD -Target: TBD	1. ASEANSAI' participation in ASOSAI and INTOSAI activity	SAI serves as the Secretariat			Activity report	ASEANSAI contribution is recognized
					2. Assessing potential MoU with ASOSAI	SAI serves as the Secretariat			Review report	

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	SO 5.2: To expand cooperation with international donors	P 5.2.1 Developing cooperation with the international donor community	The Secretariat	KPI 5.2. Number of projects funded by international donors, which achieve their expected outcomes - Baseline: 01 -Target: TBD	1. Identifying potential projects	SAI serves as the Secretariat			List of possible projects	ASEANSAI fund is sufficient to conduct its projects and activities
					2. Identify possible donors				List of possible donors	
					3. Coordination meeting with potential donors				Meeting report with identified areas of cooperation	
					4. Developing proposal of cooperation to the donors				Project proposals	
					5. Identifying potential projects				Project report	
					6. Identify possible donors				Monitoring report	
SG 6: To strengthen organizational governance of ASEANSAI	SO 6.1: To improve the management and organization of ASEANSAI	P 6.1.1 Further improving monitoring and evaluation system	SPC	KPI 6.1.1 Number of Monitoring and Evaluation report and annual meeting - Baseline: 50% -Target: 80%	1. Reviewing and Upgrading current MES	SAI Vietnam			Amended MES with guidance	ASEANSAI recognized as a credible organization
					2. Supporting activities for applying M&E system	SAI Vietnam			Committees Progress Report with sufficient analysis	
		P 6.2.1 Development of the Strategic Plan	SPC	KPI 6.2.1 The existence of the New ASEANSAI Strategic Plan	1. Development of the Strategic Plan	SAI Vietnam			2022-2025 Strategic Plan	
		P 6.3.1 Promote	The Secretariat	KPI 6.3.1 Increased understanding of	1. Upgrade website	SAI serves as the			Upgraded version of website with	

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		communication strategy		ASEANSAI members on the details of current progress of ASEANSAI		Secretariat			new functions provided	
					2. Mid-management level meeting	SAI serves as the Secretariat			Commitment by SAI leadership	
	P 6.4.1 Internal coordination	The Secretariat	KPI 6.4.1. Establish internal administration system - Baseline: TBD -Target: TBD	1. Annual Technical coordination meeting	SAI serves as the Secretariat			Meeting report		
				2. Knowledge management	SAI serves as the Secretariat			ASEANSAI Database		
				3. Develop an internal coordination checklist (for WP 2020 – 2021)	SAI serves as the Secretariat			Internal coordination checklist		
	P 6.5.1. Managing Finances	The Secretariat	KPI 6.5.1 Unqualified Opinion of the Financial Statement - Baseline: TBD -Target: TBD	1. Planning on financial activities	SAI serves as the Secretariat			Financial statement		
				2. Recording on financial activities						
			3. Monitoring on financial activities							

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		P 6.6.1. Improve ASEANSAI Rules and Procedures	R&PC	KPI 6.6.1 Number of activities follows the Rules and Procedures - Baseline: TBD -Target: TBD	4. Reporting on financial activities					
					1.Reviewing the Rules and Procedures and Recommendations	SAI Singapore			Draft Revised Rules and Procedures with recommendations for improvements	
					2.Finalizing the Rules and Procedures to submit for approval				Rules and Procedures is approved to be comprehensively apply in ASEANSAI	

