

SUMMARY OF DISCUSSION
4TH STRATEGIC PLANNING COMMITTEE MEETING
August 27 – 28, 30th, 2013

TIME AND VENUE
OF THE 4TH STRATEGIC PLANNING COMMITTEE MEETING

1. The 4th Strategic Planning Committee meeting was held for 3 days” 27th, 28th and 30th of August, 2013
2. The event took place in Eastwood Richmond Hotel, 17 Orchard Road, Bagumbayan, Quezon city 1110, Philippines

THE OBJECTIVES OF THE MEETING

Expected results of the 4th meeting: The 4th meeting of the SPC is an important hallmark in the development of the committee in particular and of ASEANSAI in general. Because it sets a very important goal, i.e. finalizing the Draft Strategic Plan for ASEANSAI in the period 2014-2017 to be submitted to the Assembly Meeting for approval this November in Brunei.

1ST SESSION ON 27TH AUGUST, 2013

1. Report on the Strategic Plan by the Chair of the SPC

SAI Vietnam presented on SPC process, recalled on what has been done. Participations of the meeting gave their comment on the draft SP

2. SAI Vietnam reports on the progress of the Committee

Summary on the achieved results

At its first meeting in Kota Kinabalu in February, 2012, The Committee has agreed on the Term of Reference and Work Plan. These documents are the foundation for every activities of the Committee thoroughly. After the meeting, SAI Vietnam sent the needs assessment survey to all ASEANSAI SAI members. It should be noted that needs assessment is the first stage in capacity building where the institutional, organizational

systems and professional staff capacity building needs are ascertained. Given that ASEANSI is a multilateral organization with 10 SAI members, it shall have a varying degree and types of needs in different areas. Ascertaining these needs will enable the SAI management to determine appropriate strategies and to deliver focused intervention to meet ASEANSI's identified needs and building capacity.

The Committee had its second meeting in Hanoi in May, 2012. At the meeting, the Committee gathered the needs assessment survey received from all ASEANSI SAI members. Based on all the information, the Committee now has a overview on every member of ASEANSI - each SAI's needs, strategic issues, strategic direction as well as the expectation. From that, the Committee formulated ASEANSI Vision, Mission, Core Values – a shared understanding of why ASEANSI exists and its aspirations for the future.

In September, 2012, the third meeting was taken place in Jakarta. Through the meeting, the Committee developed Strategic Goals Objectives, Performance Measures and Implementation Matrix to formulate the draft Strategic Plan.

3. SAI Vietnam recalled comments provided by ASEANSI members.

After recalling the comments from the ASEANSI members, SAI Vietnam summarized the result of the meeting (**Annex 1**).

2ND SESSION ON 28TH AUGUST, 2013

1. The objective of the meeting:

The meeting aimed to adjust the draft SP, based on the comments received earlier as well as comments during the meeting

Participations of the meeting are: SAI Vietnam, SAI Indonesia, SAI Philippines, SAI Malaysia (Strategic Planning Committee members), SAI Cambodia, SAI Thailand, SAI Brunei and GIZ, ADB, World Bank's representatives

2. Results of the meeting:

The meeting agreed the following changes:

P1: Title still the same. Whereas, Activities number 1-4 in the P.1 will be in the Footnote;

SO2: “To enhance the independence of the member-SAIs” changed to “To promote the enhancement of the independence of the member-SAIs”

KPI 2: “Number of SAIs that revise the legislative document in compliance with the Lima and Mexico declaration” changed to “Number of SAIs facilitated by ASEANSI in compliance with the Lima and Mexico Declaration”

P2: “Facilitate member-SAIs planning to enhance its independence” changed to “Facilitating the planning of member-SAIs to enhance their independence”;

KPI 3: “Number of activities facilitated by the ASEANSI to help its members develop their organizational capacity” changed to “Number of activities facilitated by the ASEANSI to assist its members in developing their organizational capacity”

P3.2: “Encourage the member SAIs to conduct research in relation to SAI's needs” changed to “Promoting the member-SAIs to conduct research and sharing existing research to member-SAIs”;

P3.3: “Assist in the development of performance management measures (PMM) of member-SAIs” changed to “Assisting in the development of performance management measures (PMM) of member-SAIs”

KPI 4: “Number of activities facilitated by the ASEANSI to help members develop their audit capacity” changed to “Number of activities facilitated by the ASEANSI to assist members develop their audit capacity”

P4.2: “Accelerate the INTOSAI Audit Standard adoption among the member-SAIs” changed to “Assisting members SAIs in the adoption of the INTOSAI Audit Standard”

KPI 5: “Number of activities carried out by ASEANSI in corporation with ASOSAI” changed to “Number of activities carried out by ASEANSI in cooperation with ASOSAI and INTOSAI”

KPI 6: “Number of agreements signed by ASEANSI and international donors” changed to “Number of cooperation activities undertaken by ASEANSI with international donors”

3rd SESSION MEETING ON 30th AUGUST, 2013

The objective of the meeting:

Prof. Dr. Doan Xuan Tien recalled what was discussed during the 2nd meeting and then announced some outstanding issues needed to be considered and agreed upon in the future (before the side-line ASEANSAT meeting at INCOSAT 21 in Beijing, China)

(i) According to the donors' suggestion, the Committee needs to prioritize projects

To accomplish this task, the followings are needed to be done in accordance with the timelines:

- After this meeting, SAT Indonesia (Chairman and Secretariat of ASEANSAT) shall send the request to Committees (especially Training Committee and Knowledge Sharing Committee) and member SATs asking them to conduct their priority projects

- Based on that, SAT Indonesia will formulate a prioritized project portfolio with detail information of Committee responsible, timeline (beginning, ending), budget for each project and send it to SAT Vietnam (Chairman of SPC), **before 10th October, 2013**

In the year 2013, ASEANSAT Secretariat needs to choose 1 project from Knowledge Sharing Committee or Training Committee for sponsorship by GIZ

- Based on the prioritized project portfolio sent by SAT Indonesia, SAT Vietnam will complete its Strategic Plan and send to ASEANSAT Committees and member SATs **before 15th October, 2013**

(ii) In relation with the monitoring of Strategic Plan, the first (27th Aug) and second (28th Aug) meetings pointed out that:

The Dashboard is an important and efficient tool to monitor the project implementation.

However, in order to use the Dashboard, we need to deal with various issues such as technology and a system of consistent criteria for the progress (working process, results, etc), those inputs for the Dashboard are quite complicated and time-consuming. Therefore, the application of Dashboard to monitor the implementation of Strategic Plan is not feasible at the moment as well as at the beginning period of Strategic Plan.

Therefore, we agree on the adjustment of Monitoring section in the draft Strategic Plan as “Dashboard tool shall be formulated and applied for the purpose of monitoring the project implementation from the year 2016 or 2017”